

# Electromyography (EMG) Applications for Rehabilitation and Prosthesis

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上海交通大学  
SHANGHAI JIAO TONG UNIVERSITY

# Outline

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1. Introduction
2. EMG for paralyzed patients
3. EMG for amputees
4. Ending

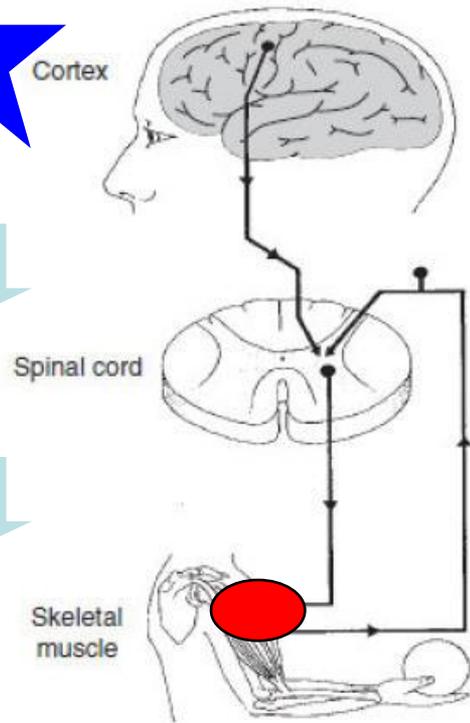
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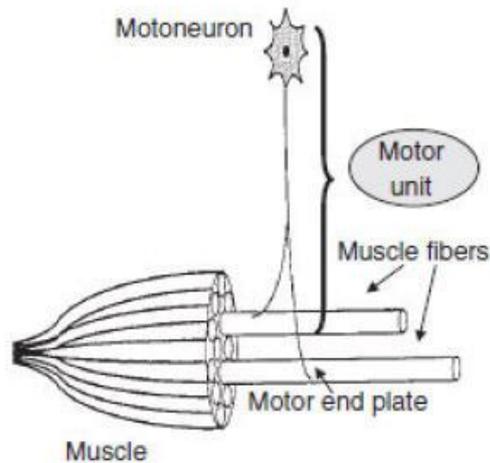
# Background

## Human Motor Control

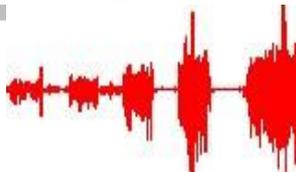
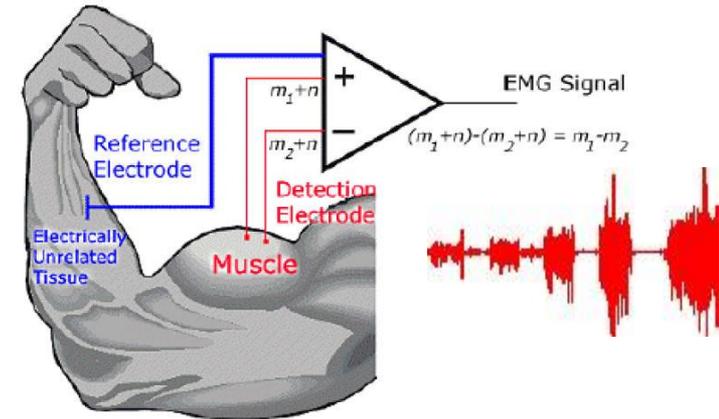


Motor unit (MU)  
motoneuron + muscle fibers

Force = MU Recruitment  
MU Firing rate

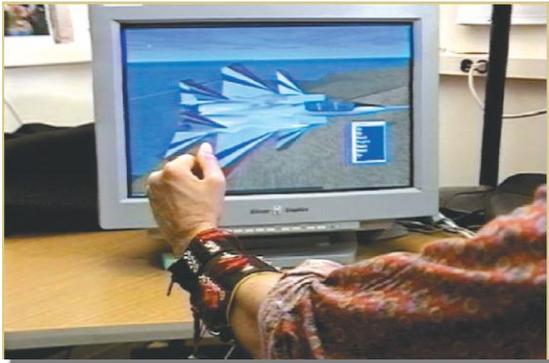


## Electromyography (EMG)



# Background

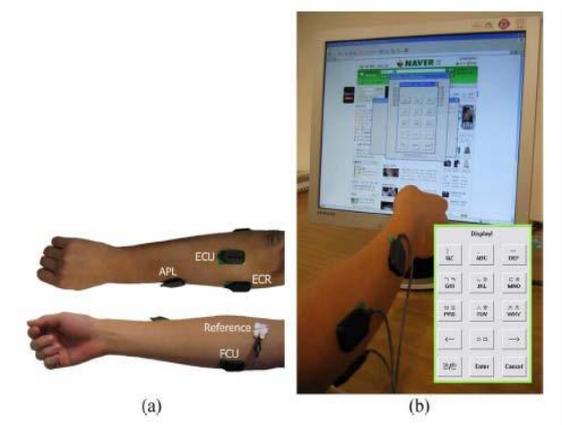
EMG is a kind of Human-Machine Interface (HMI)



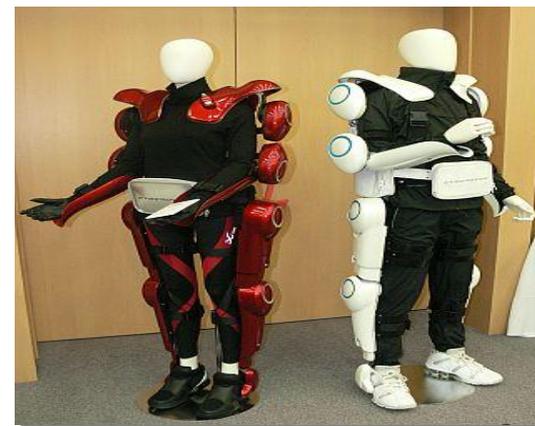
Aircraft Control



Entertainment

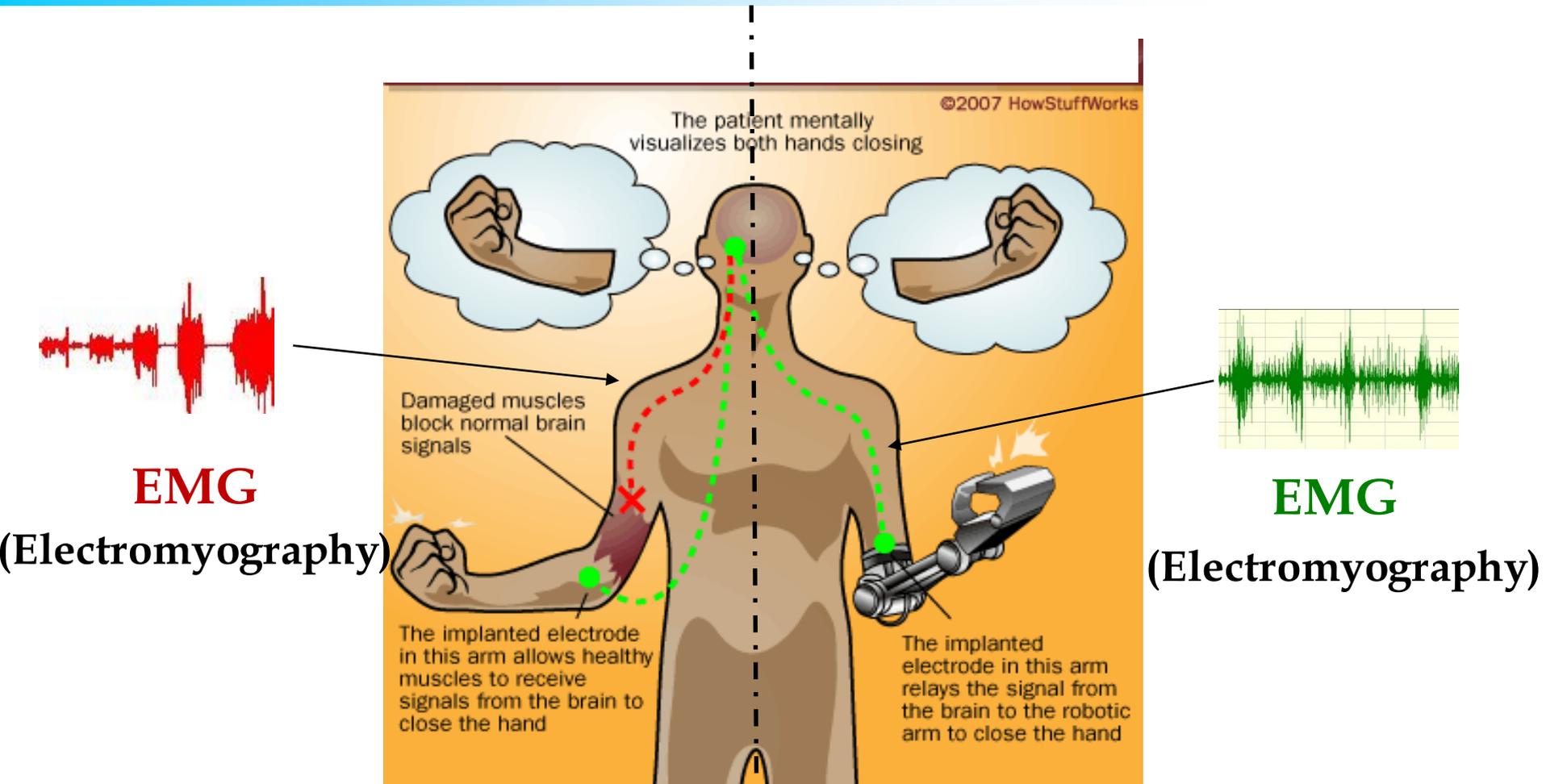


Computer Device Control



Exoskeleton Control

# Our Targets



Rehabilitation  
for Paraplegics

Prosthesis  
for Amputees

# Outline

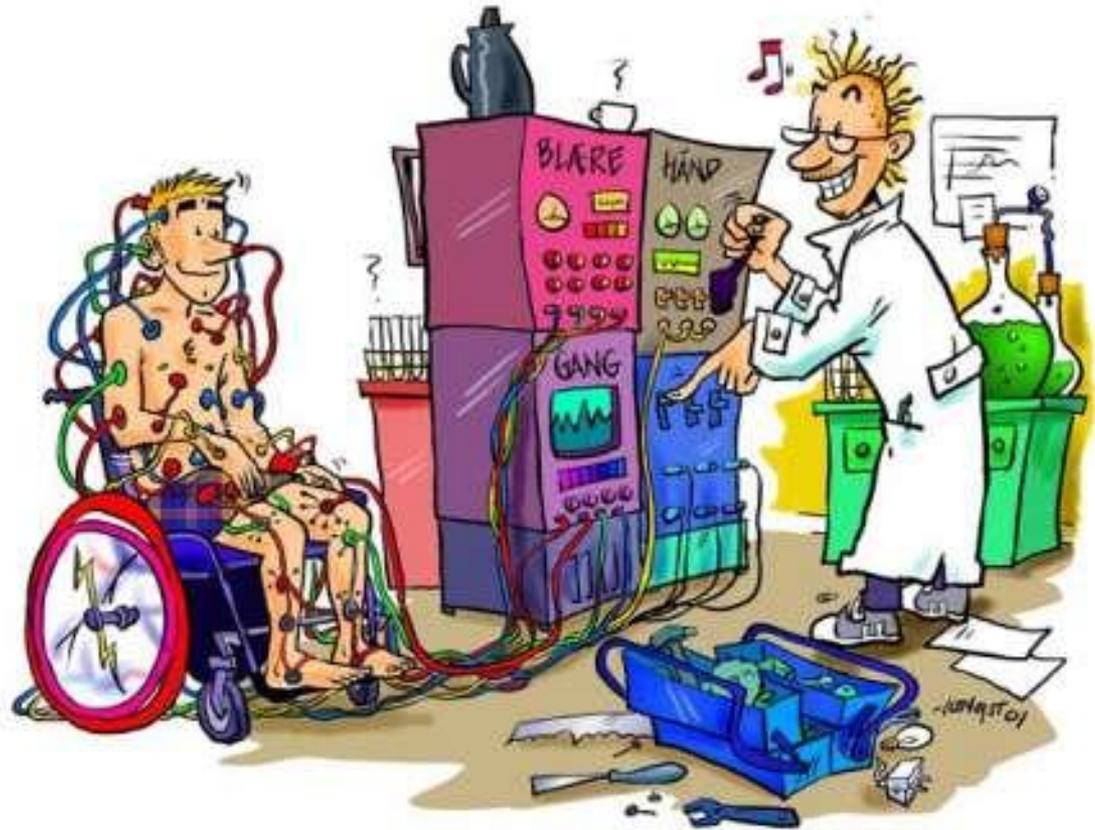
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# FES= **F**unctional **E**lectrical **S**timulation

## An important rehabilitation technique

Controlling electrical pulses of low level to stimulate the skeletal muscle in an attempt to restore **the motor function** and generate the desired motions for **paralyzed patients**.



# Suitable Conditions for EMG+FES

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1) The patient is incompletely paralyzed. He can weakly drive some muscles voluntarily, but the force is not enough to perform movement, or the movement is abnormal and awkward. Then the weak EMG that can be interpreted as a desire for a certain muscle contraction and it is recorded to stimulate the same muscle positively.

# Suitable Conditions for EMG+FES

2) EMG from some normal muscles can be used to stimulate the paralyzed muscles (not symmetric ones) in the ipsilateral side of a limb. For example, the EMG of proximal muscles of upper limb is employed to stimulate the distal muscles to perform grasping via FES; the EMG from the wrist extensor muscles has been used to control the stimulation of the finger and thumb flexors in order to obtain a stronger tenodesis; the EMG of biceps is used to modulate the stimulation for triceps.

# Suitable Conditions for EMG+FES

- 3) The patient is hemiplegic. The EMG information from one side of human limbs can be used to control the paralyzed symmetric muscles of the contralateral side via FES.



## Case Study 1

# Suitable Conditions for EMG+FES

- 4) Master slave control. The EMG information from healthy persons of master side, which remotely controlled the patients of slave side.



**Case Study 2**

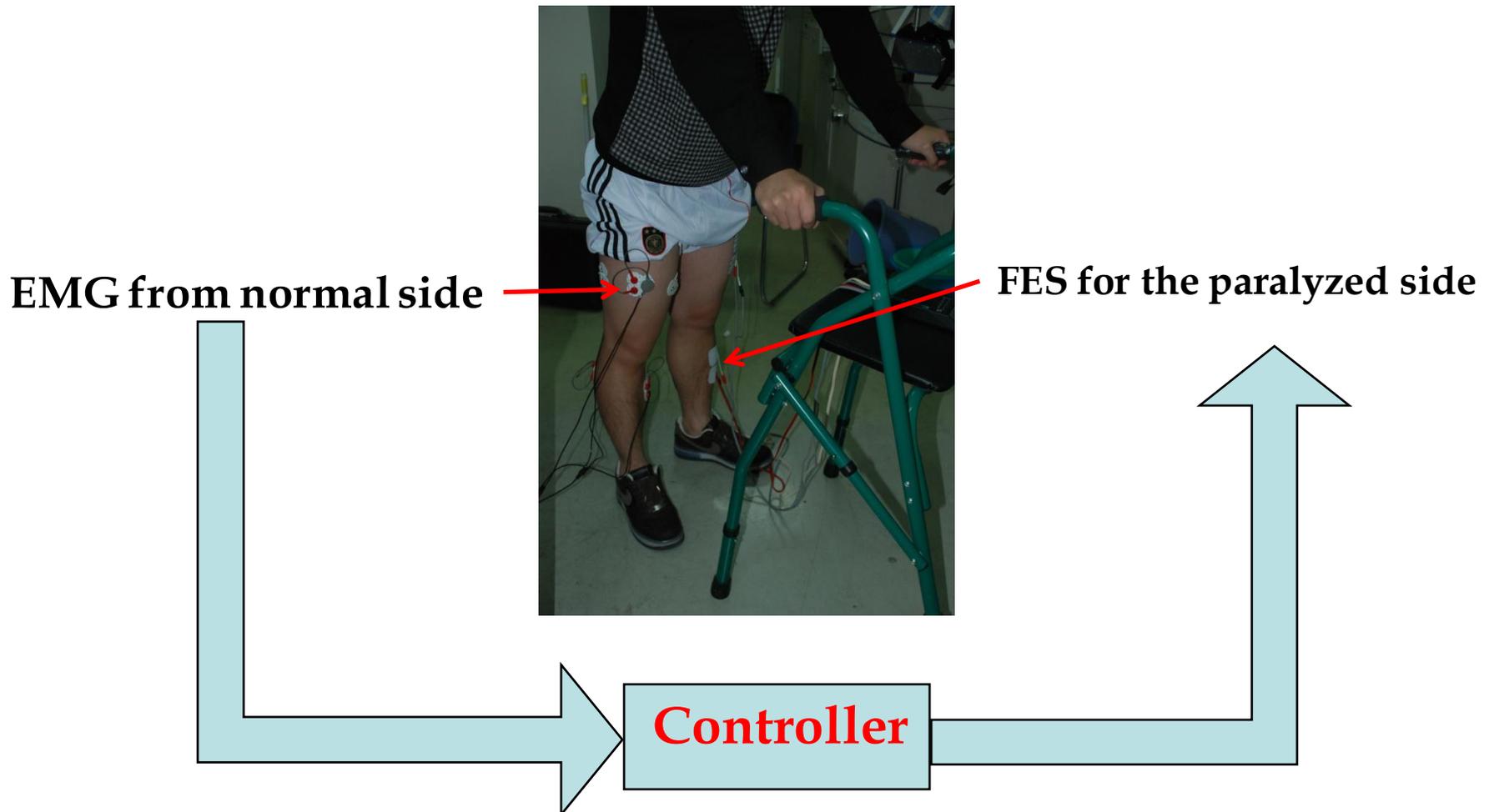
# Case Study 1

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**EMG in FES rehabilitation system for hemiplegic patients**

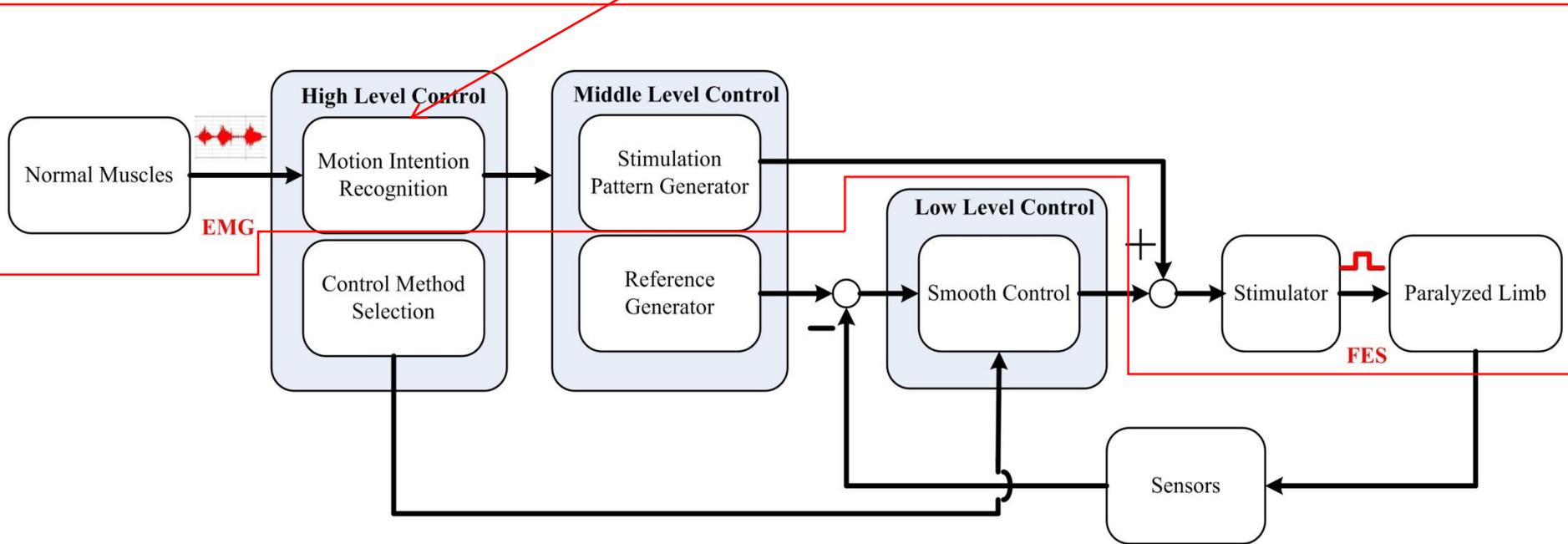
# General Idea

**Serve for Hemiplegic Patients!**



# Hierarchical FES Control System

**Our focus: EMG based Recognition**



**The current work only realizes the feedforward components (circled by red lines).**

# EMG Measurement



Inside view



Outside view

## EMG Electrodes Location in Left Leg.

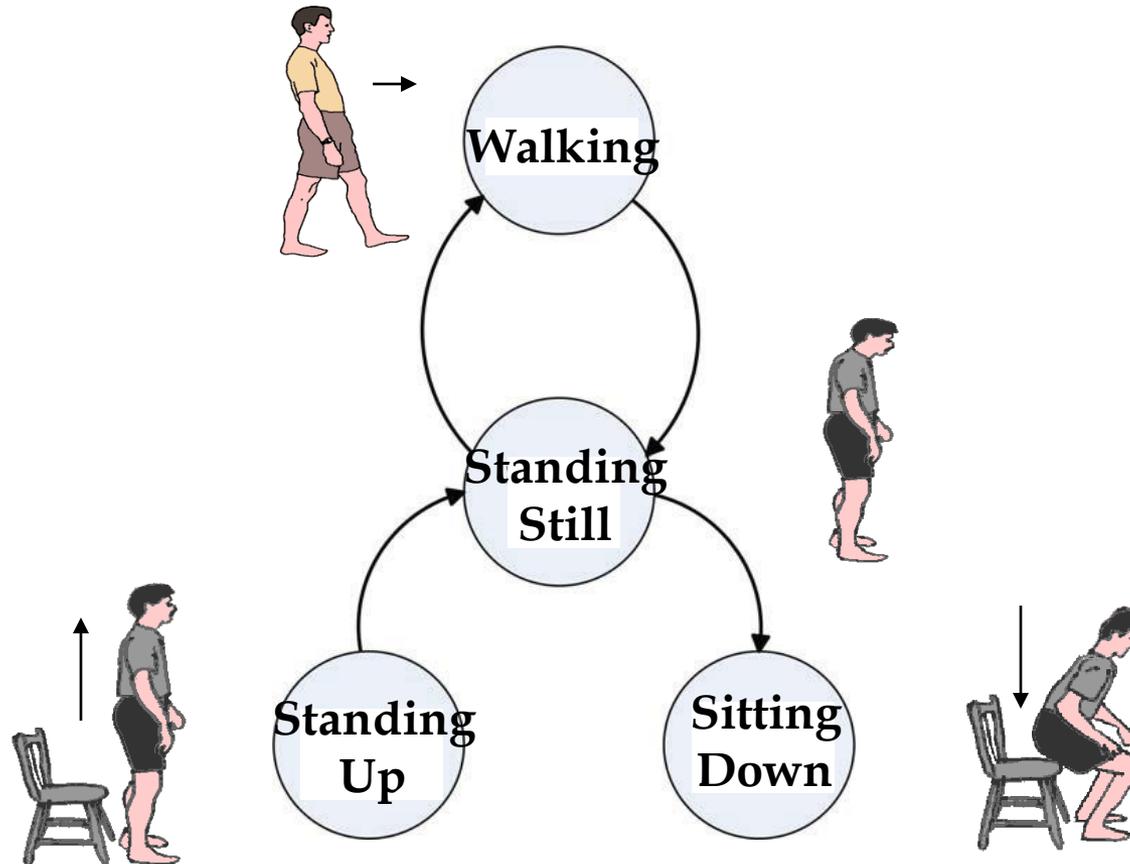
Six targeted muscles:

rectus femoris, vastus group, biceps femoris,

Semimembranosus, gastrocnemius, soleus



# States of Motion in Lower Limb



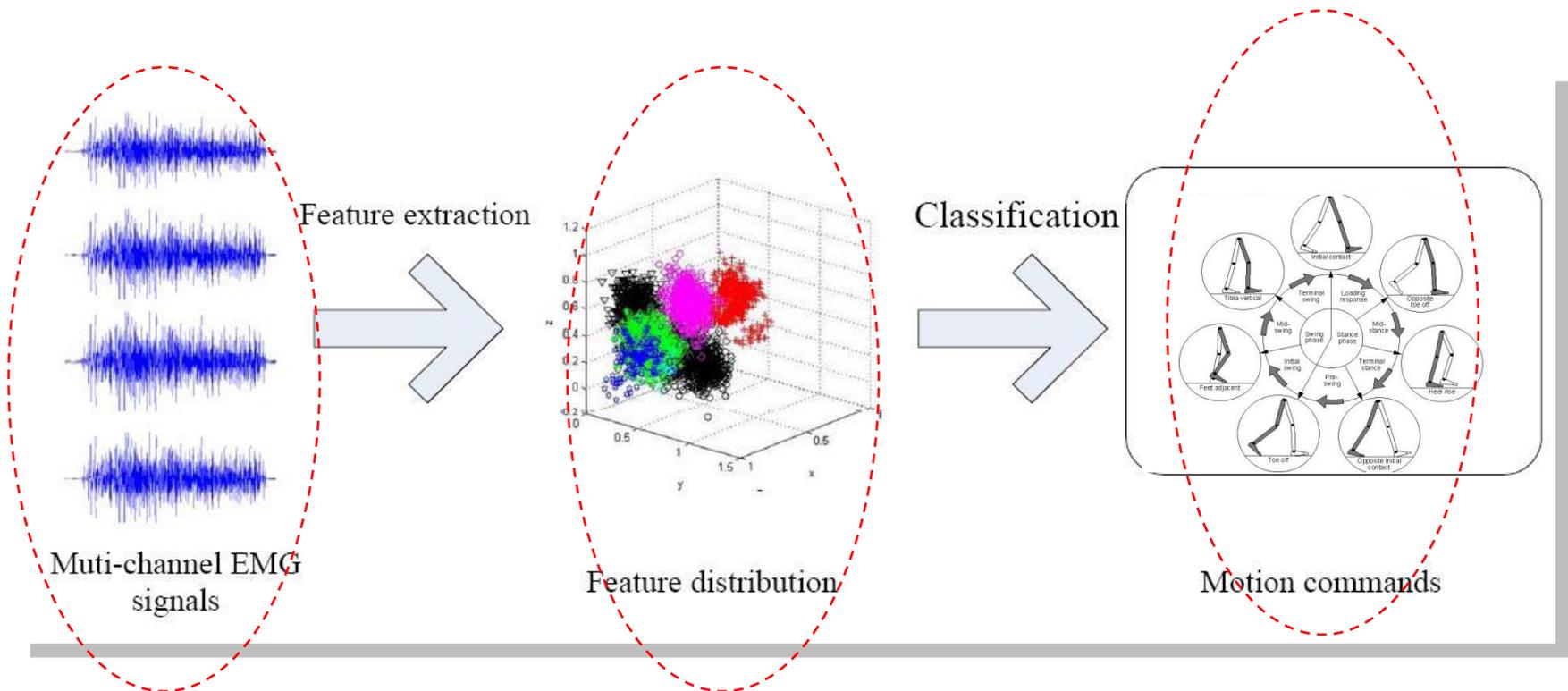
**Four types of motions to be classified.**

# Protocol

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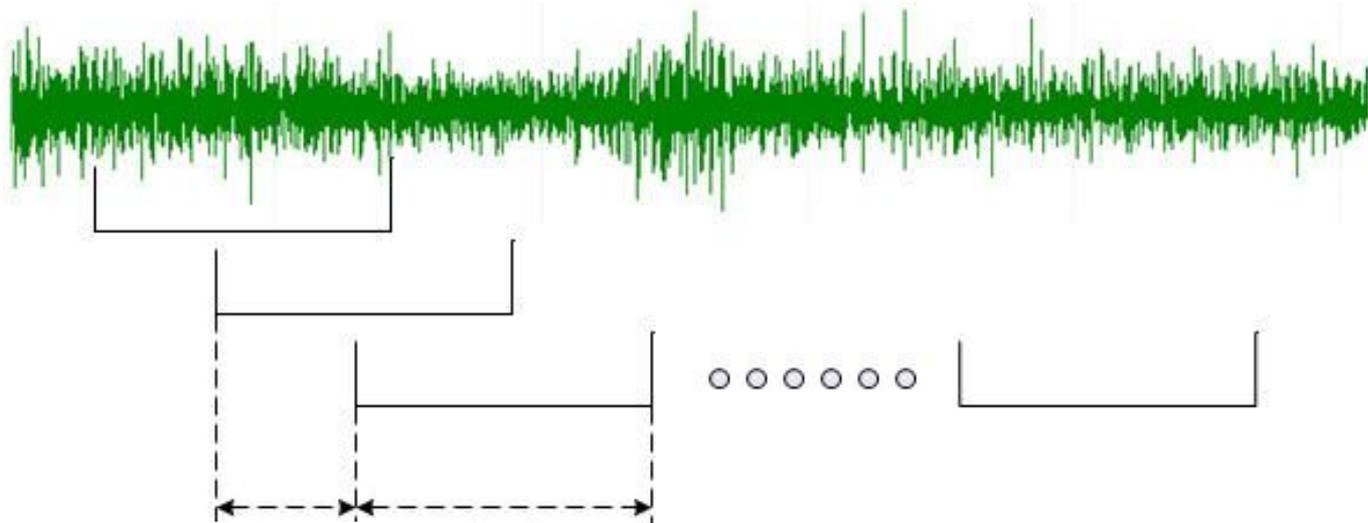
- Three healthy subjects took part in the EMG measurement.
- Six channels of EMG signals are acquired from six muscle groups on one leg.
- The subjects perform four types of movements: sitting down, standing up, stillness (standing quietly and keeping body balance), and walking in sequence.
- Every type of motion will be performed 60 times. The EMG signal acquired during the first 30 times will be used as training data, and that of the last 30 times as testing data.

# EMG Processing



# EMG Processing

EMG



**Segmentation:**

Increment

Analysis  
window

100 ms

200 ms

# EMG Feature Extraction I

## Time Domain Statistics (TDS) Features

**Mean Absolute Value**  $\bar{X} = \frac{1}{N} \sum_{i=1}^N |x_i|$

**Zero Crossing**  $x_i x_{i+1} \leq 0, \text{ and } |x_i - x_{i+1}| \geq \varepsilon_z$

**Slope Sign Changes**  $(x_{i+1} - x_i)(x_i - x_{i-1}) \geq \varepsilon_s$

**Waveform Length**  $l = \sum_{i=1}^N |\Delta x_i|$

$$\Delta x_i = x_i - x_{i-1}$$

# EMG Feature Extraction II

## Fourier Cepstral (FC) Features

(1) Calculate the energy spectrum using the discrete Fourier transform

$$X[k] = \sum_{n=0}^{N-1} x[n] \exp^{-j \frac{2\pi}{N} nk}, k = 0, 1, \dots, N-1.$$

(2) Calculate FC coefficients from the nonlinear magnitude of the Fourier-spectrum transform directly using discrete cosine transform

$$FC_i = \sum_{k=0}^{N-1} Y_k \cos\left(\frac{(k+1/2)(i-1)\pi}{N}\right), i = 0, 1, \dots, N. \quad (7)$$

where  $x(n)$  is the EMG data.  $Y_k = f(|X[k]|)$  is a nonlinear transformation (e.g. logarithm of magnitude) of  $|X[k]|$  that is the magnitude of Fourier coefficients, and  $N$  is the number of FC coefficients.

# Classification

## Classifiers:

### Linear Discriminant Analysis (LDA)

### Quadratic Discriminant Analysis (QDA)

$$p(\omega_i | y) = p(\omega_i) \frac{p(y | \omega_i)}{p(y)}$$

where  $p(y | \omega_i)$  is the class-conditional probability density function (PDF)

$$p(y | \omega_i) = \frac{1}{(2\pi)^{\frac{P}{2}} |\Sigma_i|^{\frac{1}{2}}} \exp\left\{-\frac{1}{2}(y - \mu_i)^T \Sigma_i^{-1}(y - \mu_i)\right\}$$

**Note:** It is called LDA. When covariances  $\Sigma_i$  are assumed to be different, the decision boundaries are hyperquadric surfaces, and this is called QDA.

Fisher linear discriminant (FLD) is adopted to reduce the dimension before QDA classification in this work.

# Results

## Average Classification Accuracy of Three Subjects

| Subjects | FC           | FC(FLD)      | AR    | AR(FLD) | TDS   | TDS(FLD)     |
|----------|--------------|--------------|-------|---------|-------|--------------|
|          | +LDA         | +QDA         | +LDA  | +QDA    | +LDA  | +QDA         |
| ZJ       | 95.83        | 95.83        | 95.83 | 94.17   | 96.67 | <b>97.50</b> |
| LZZ      | <b>98.33</b> | 97.50        | 92.50 | 90.00   | 96.67 | 95.83        |
| YYC      | 97.50        | <b>99.17</b> | 94.17 | 94.17   | 92.50 | 90.83        |
| Mean     | 97.22        | <b>97.50</b> | 94.17 | 92.78   | 95.20 | 94.72        |

# Results

## Average Classification Accuracy of Four Types of Movements

| Subjects       | FC           | FC(FLD)      | AR         | AR(FLD) | TDS   | TDS(FLD) |
|----------------|--------------|--------------|------------|---------|-------|----------|
|                | +LDA         | +QDA         | +LDA       | +QDA    | +LDA  | +QDA     |
| Walking        | 96.67        | 98.89        | <b>100</b> | 100     | 98.75 | 97.22    |
| Standing up    | 95.56        | <b>95.56</b> | 87.78      | 86.67   | 92.04 | 91.94    |
| Sitting down   | <b>96.67</b> | 95.56        | 88.89      | 84.44   | 90.01 | 89.72    |
| Standing still | 100          | 100          | 100        | 100     | 100   | 100      |
| Mean           | 97.23        | <b>97.50</b> | 94.17      | 92.78   | 95.20 | 94.72    |

# Demonstration



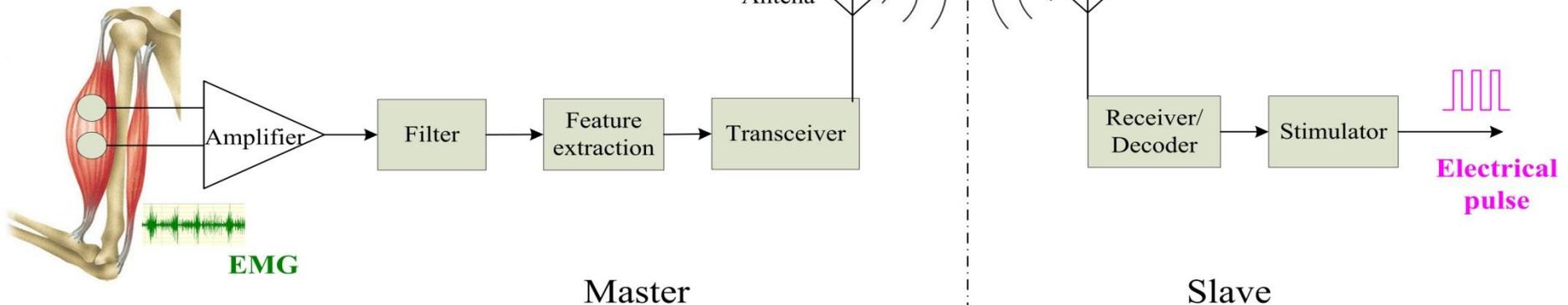
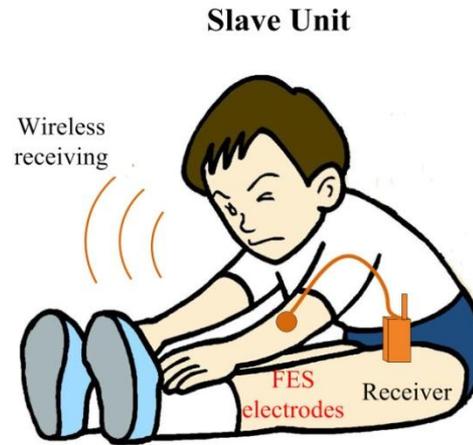
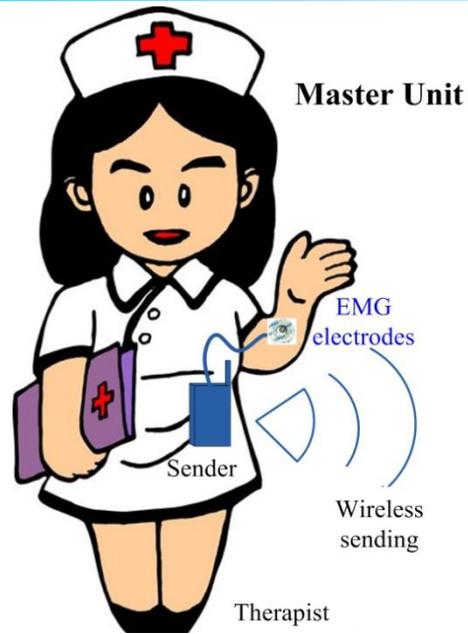
Offline EMG data Driven FES for Walking

# Case Study 2

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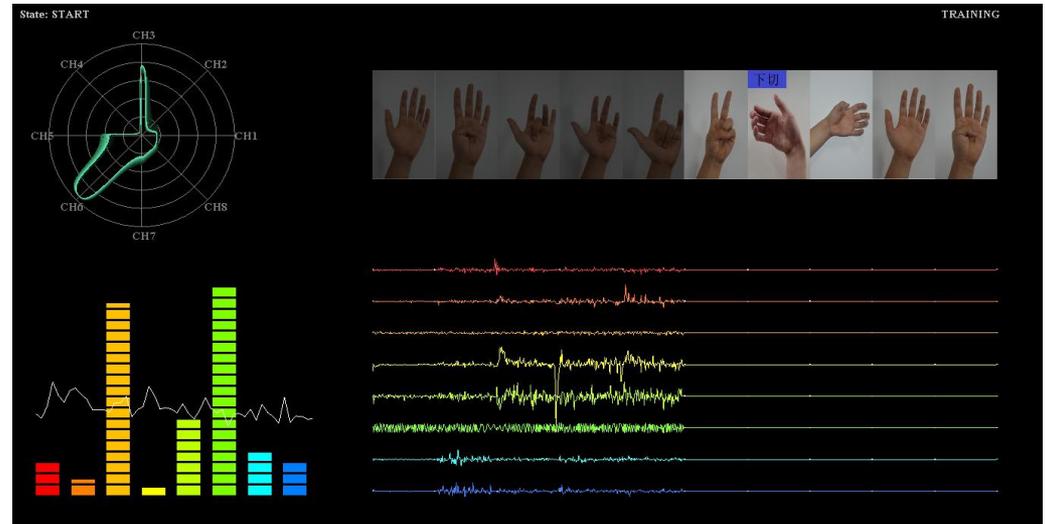
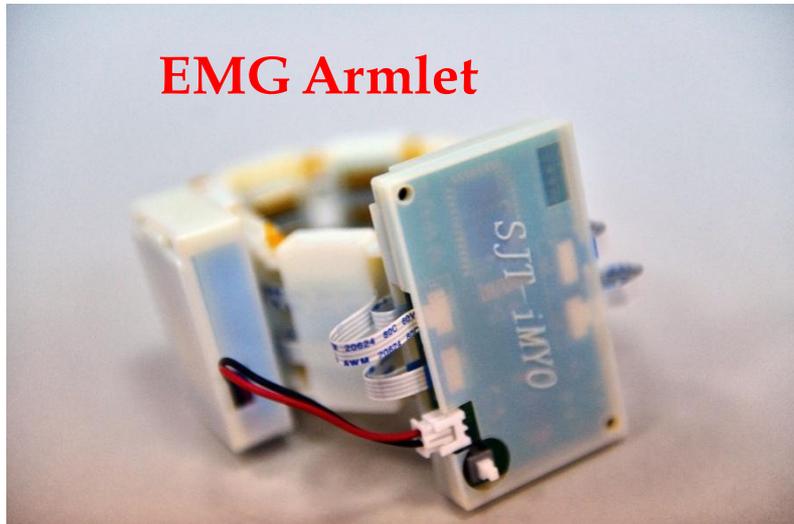
**EMG in Master-Slave Gesture  
Learning System using FES**

# General Idea



# Master Side

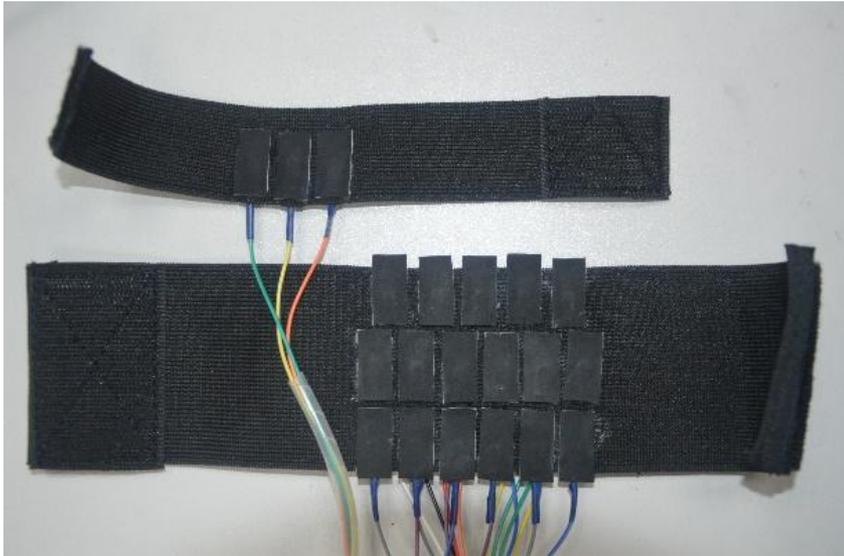
## EMG Signal Acquisition and Processing



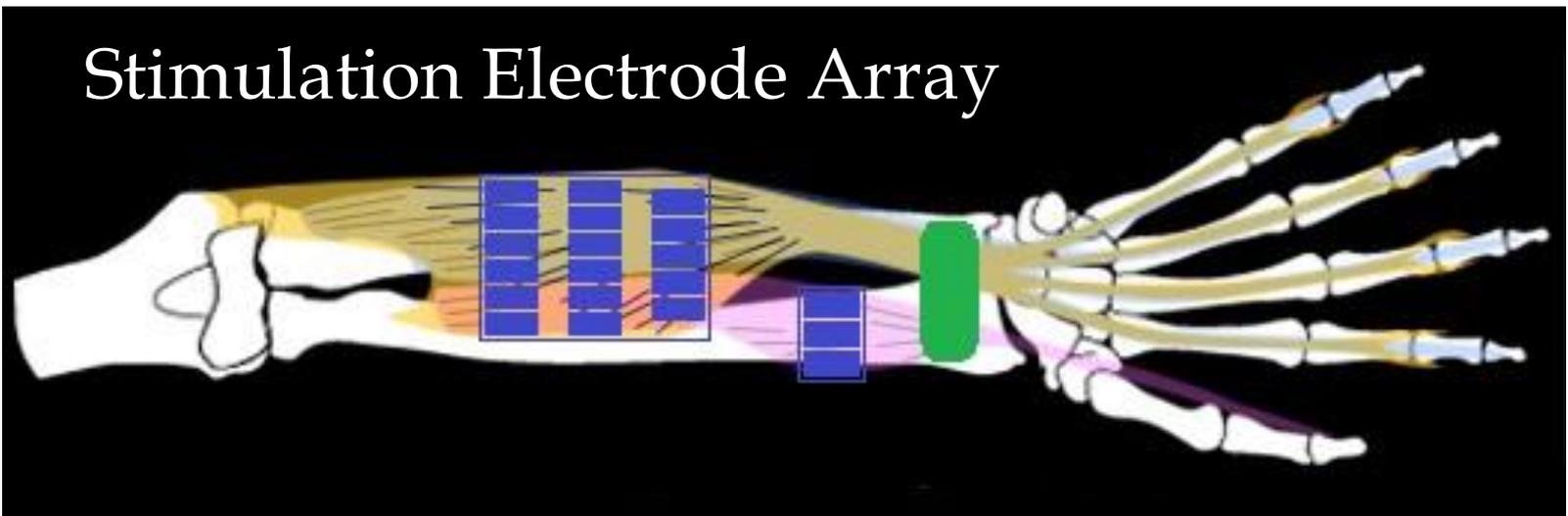
Features: Time Domain Statistics (TDS)  
Classifier: Support Vector Machine

# Slave Side

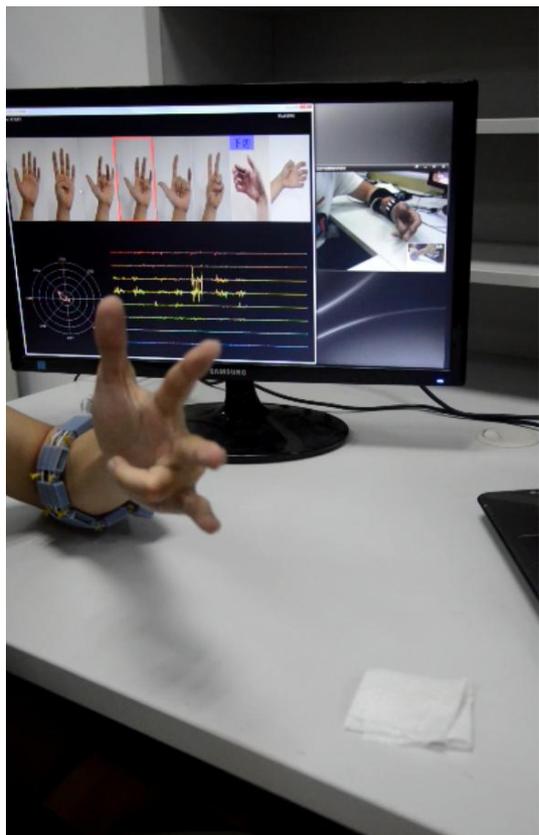
## Functional Electrical Stimulation (FES)



### Stimulation Electrode Array



# Demonstration



Master Side



Slave Side

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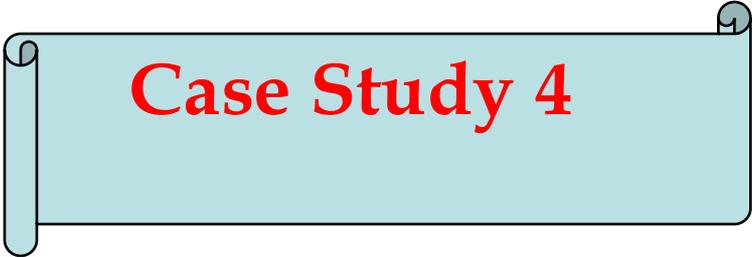
# EMG for Amputees

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## Case Study 3

**Handwriting Recognition using EMG**



## Case Study 4

**EMG Controlled Prosthetic Hand**

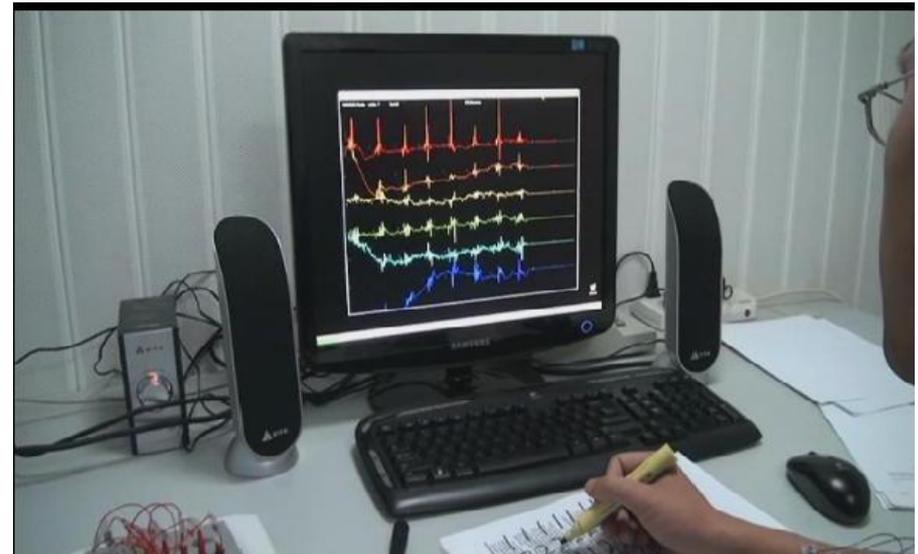
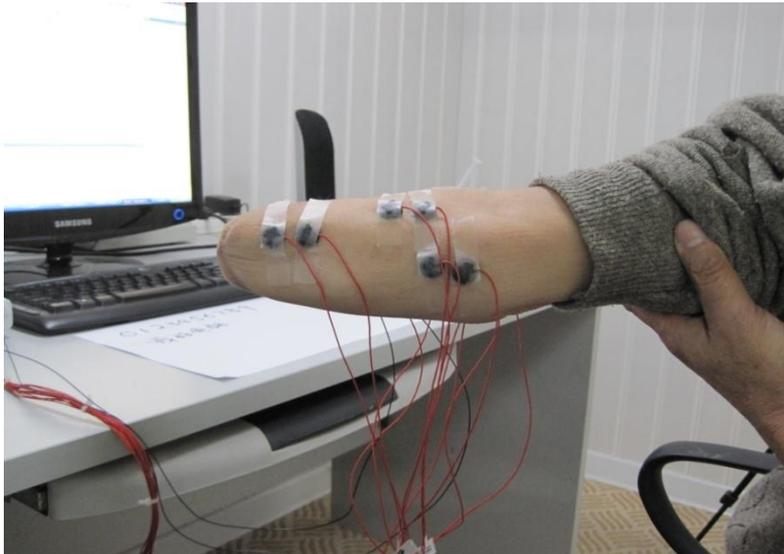
# Case Study 3

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## Handwriting Recognition using EMG

# Objective

- Allow a person (maybe disabled) to **communicate** or control the computer and mobile phones **without keyboard or other input devices**.
- Provide a natural, low-cost input way for **HMI (Human-Machine Interface)** based on **EMG**.



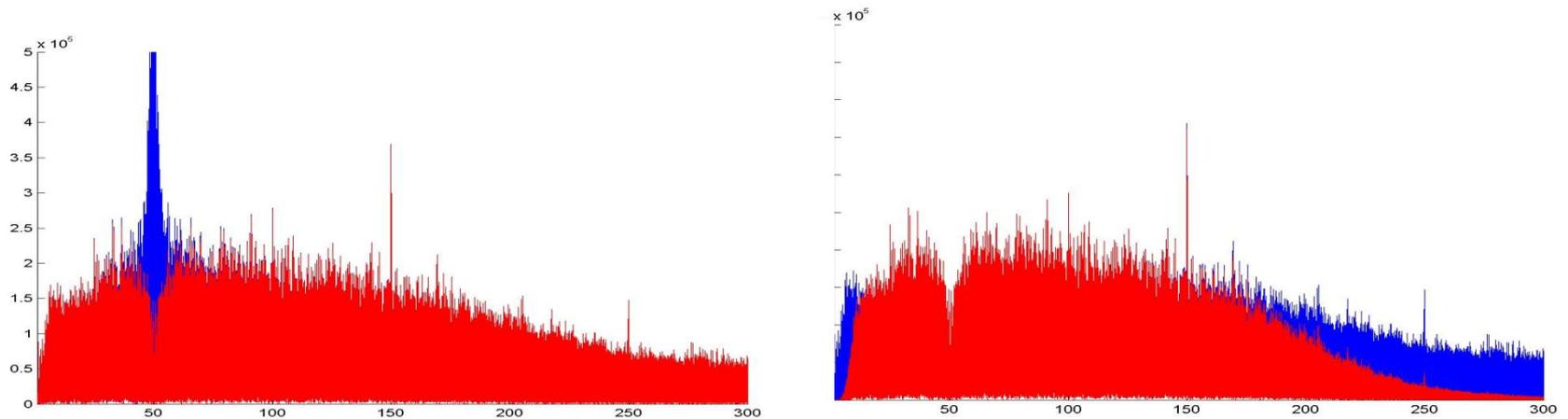
# Method

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- 1. Preprocessing**
- 2. Onset and Offset Detecting**
- 3. Template making**
- 4. Template matching**

# Preprocessing

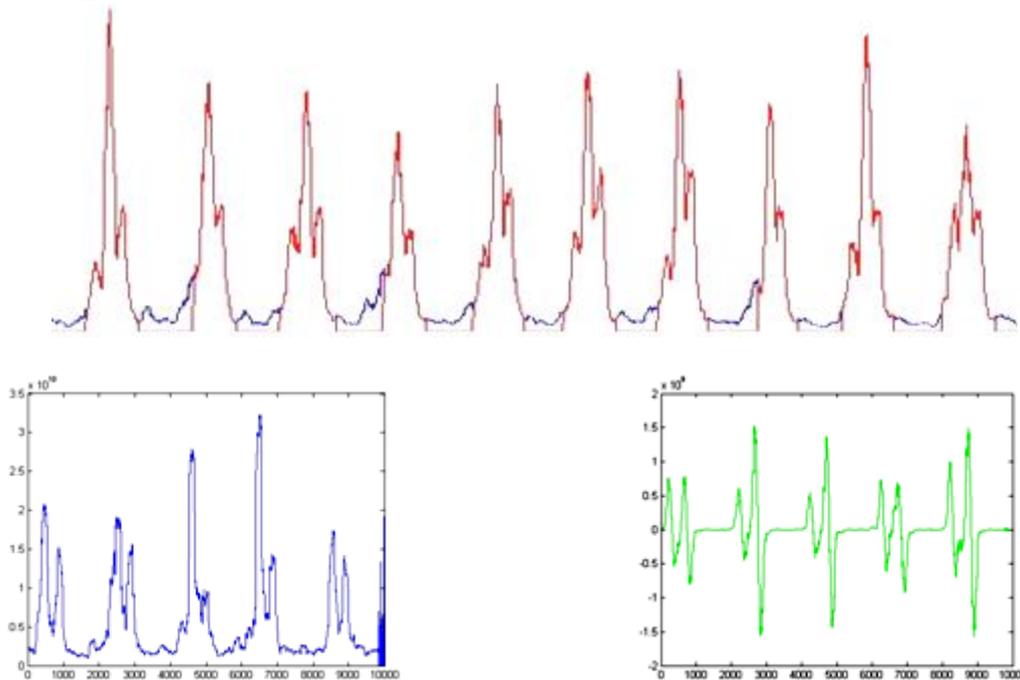
- Notch filter of 50Hz (power frequency), and bandpass filter for 10-200Hz



Blue (removed), Red (left)

# Onset and Offset Detecting

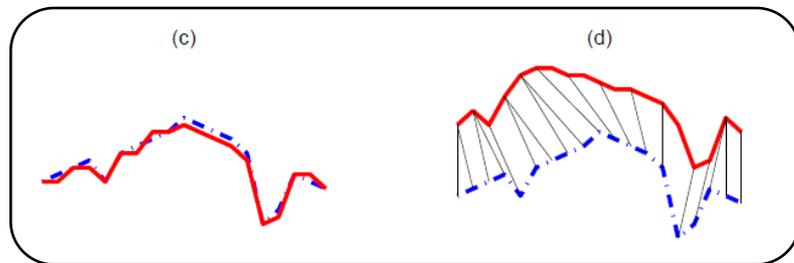
- Detecting technique plays an important role for recognition.
- We set the threshold based on the energy and its slope of the EMG signal.



# Template Making

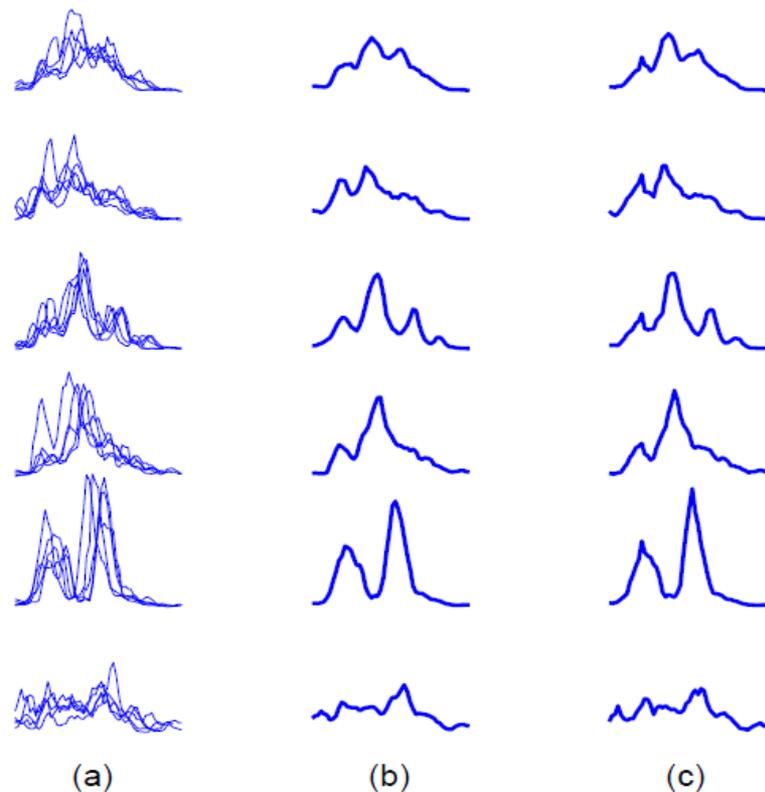
## Dynamic Time Warping (DTW) algorithm

### Conventional Processing



**DTW**

$$D_A(n, m) = D(n, m) + \min_{q \leq m} D_A(n-1, q)$$
  
Minimize the cumulative distance  
between points  $m$  &  $n$



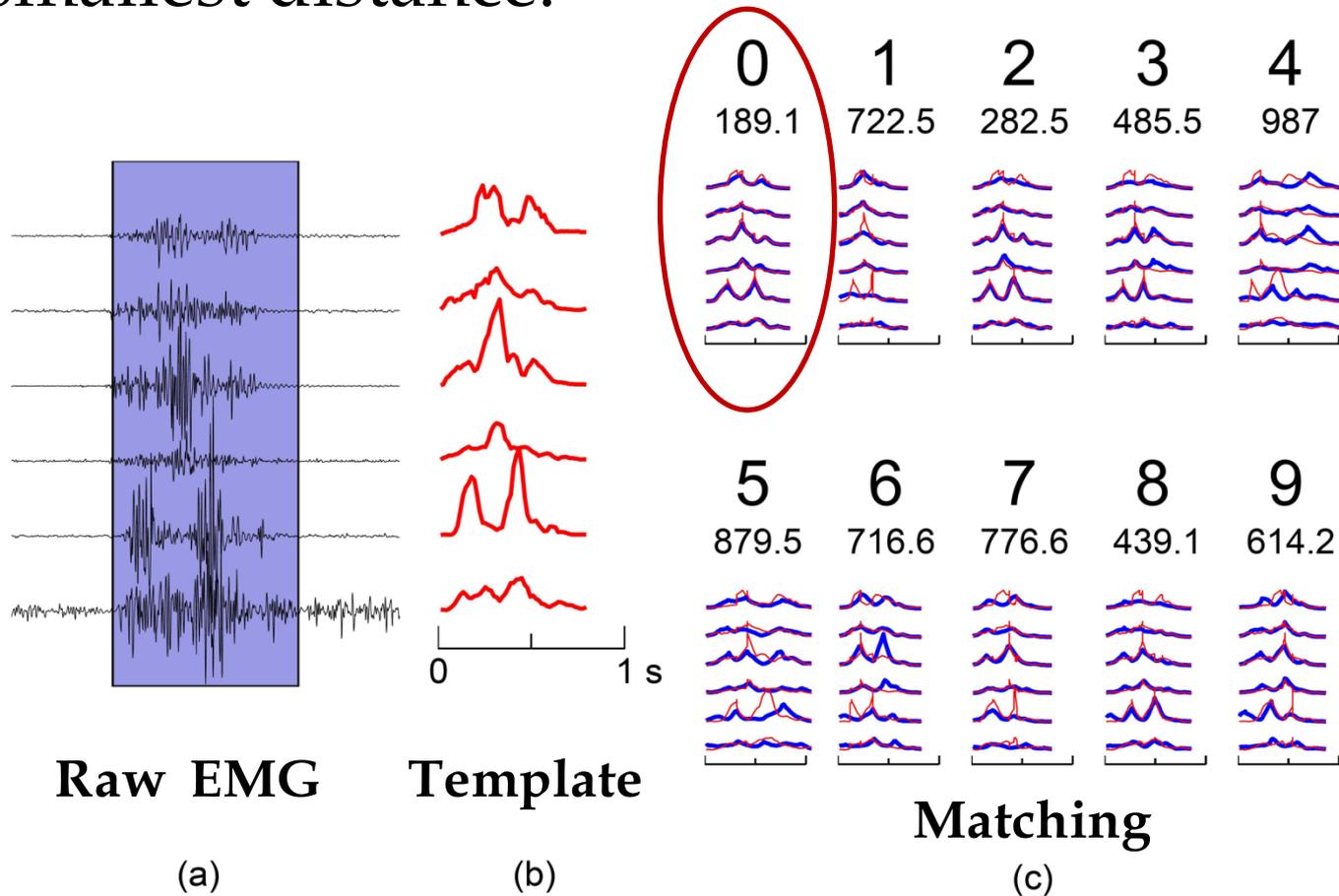
**Six-channel  
EMG**

**Initial  
Template**

**Final  
Template**

# Template Matching

Compared with all the templates by DTW method, system recognize the character corresponding to the smallest distance.



# Results

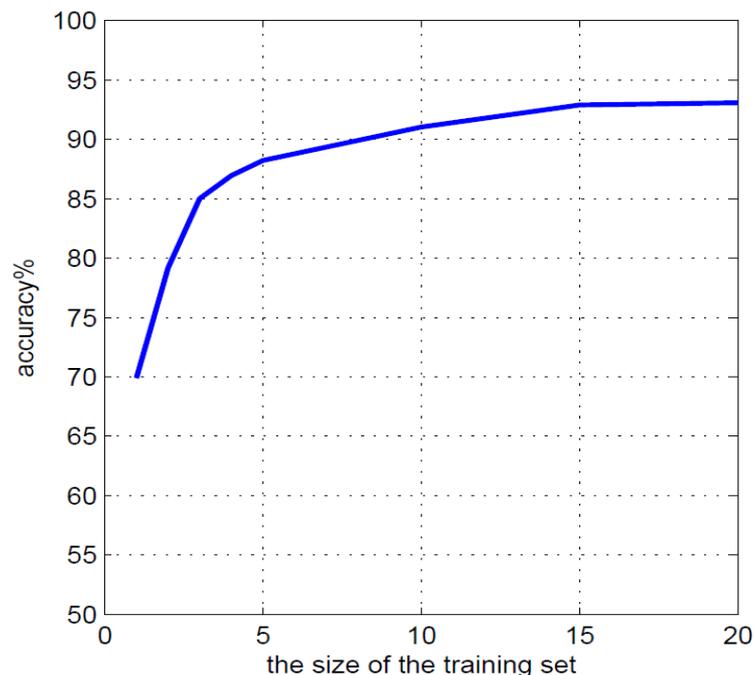
## Performance on three character sets:

- digit characters from '0' to '9'
- Chinese characters from '一' (one) to '十' (ten)
- capital letters from 'A' to 'Z'

|                |                        |                                    |
|----------------|------------------------|------------------------------------|
| 01234<br>56789 | 一 二 三 四 五<br>六 七 八 九 十 | ABCDEFGHIJ<br>KLMNOPQRS<br>TUVWXYZ |
|----------------|------------------------|------------------------------------|

ACCURACY ON THREE CHARACTER SETS.

| No. | character set | size | accuracy(%) |
|-----|---------------|------|-------------|
| 1   | digits        | 10   | 98.25       |
| 2   | Chinese       | 10   | 97.89       |
| 3   | letters       | 26   | 84.29       |



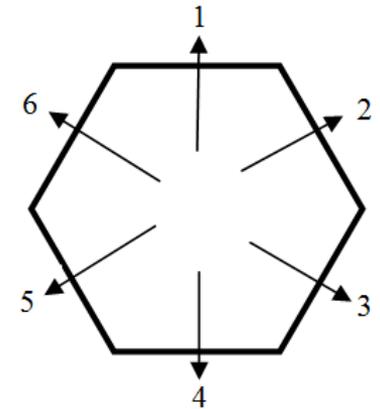
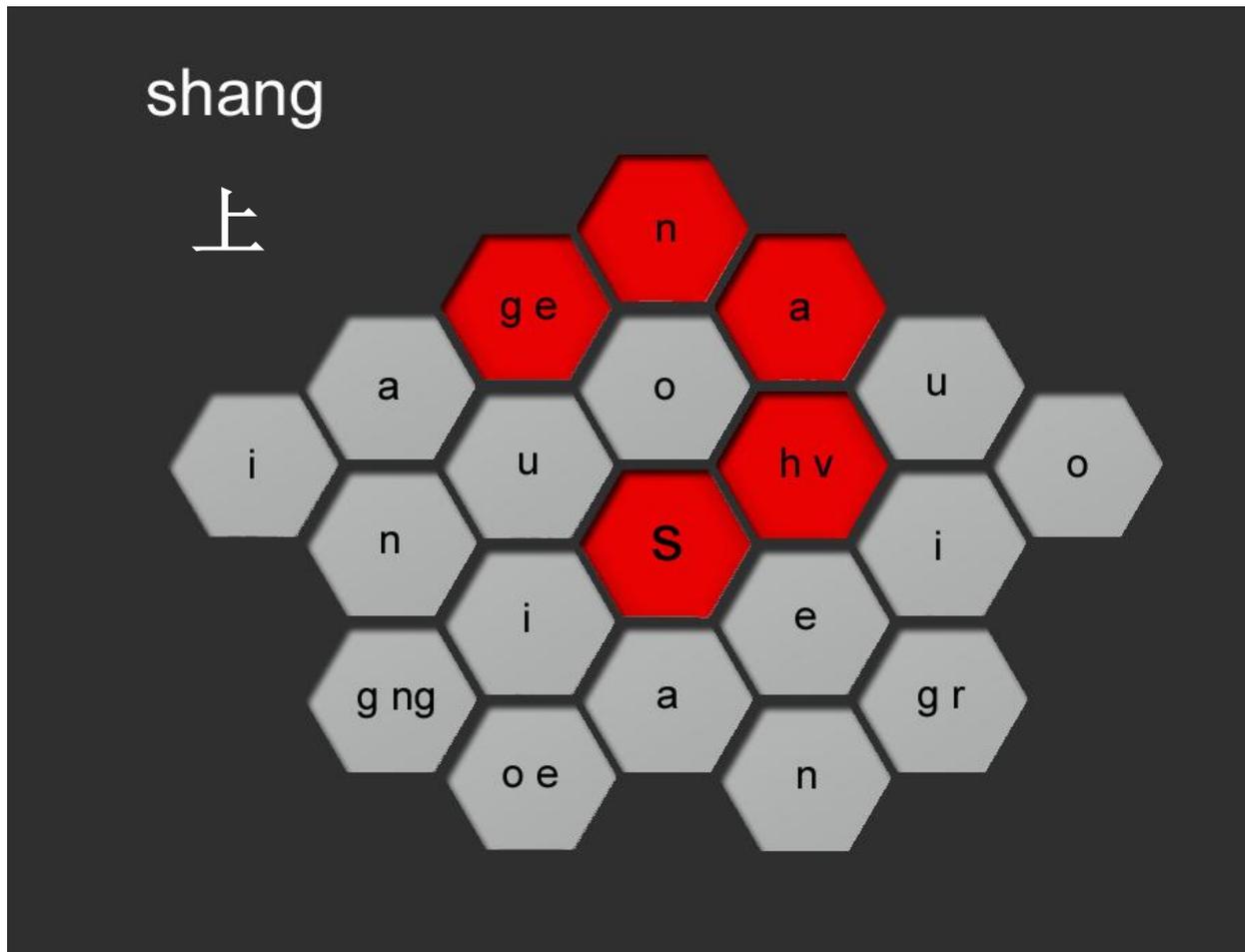
# Combined with AEVIOUS

Online EMG collection

DTW

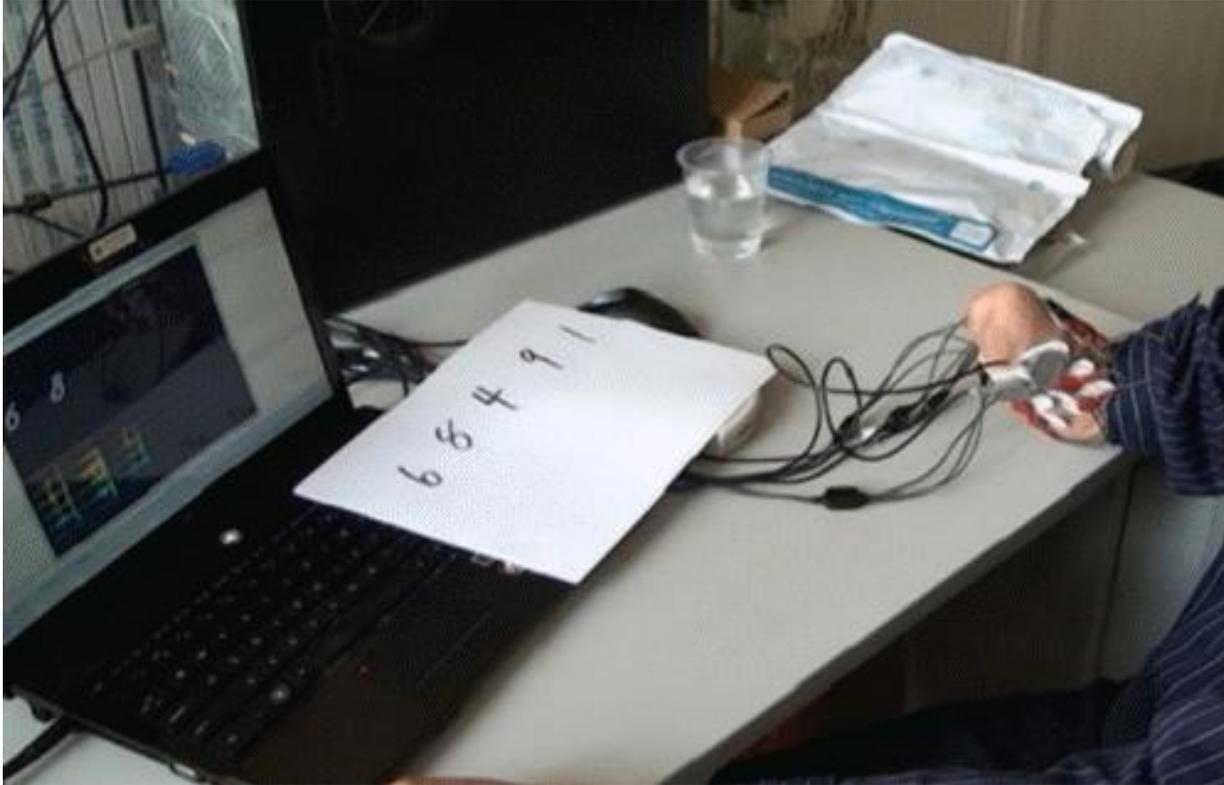
Human-Computer Interface

Visible HCI=AEVIOUS Input: Chinese character=>phonetic symbol (**Pin Yin**)



6 slide directions  
in a hexagon unit

# Demonstration

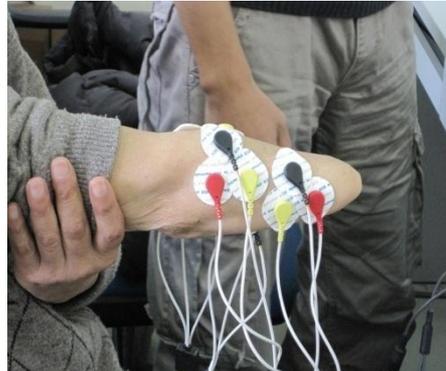
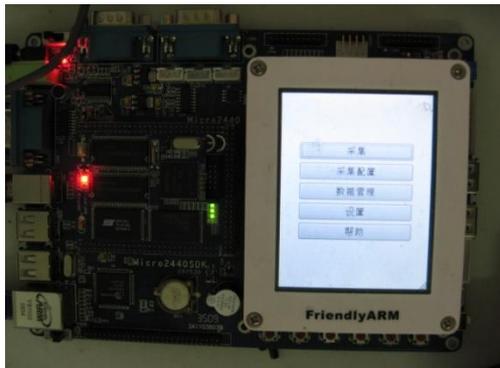


Experiments on an amputee

# Continuous Work



- improve EMG acquisition instrument
- apply on disabled with hand deficiency
- control mobile phone with Bluetooth

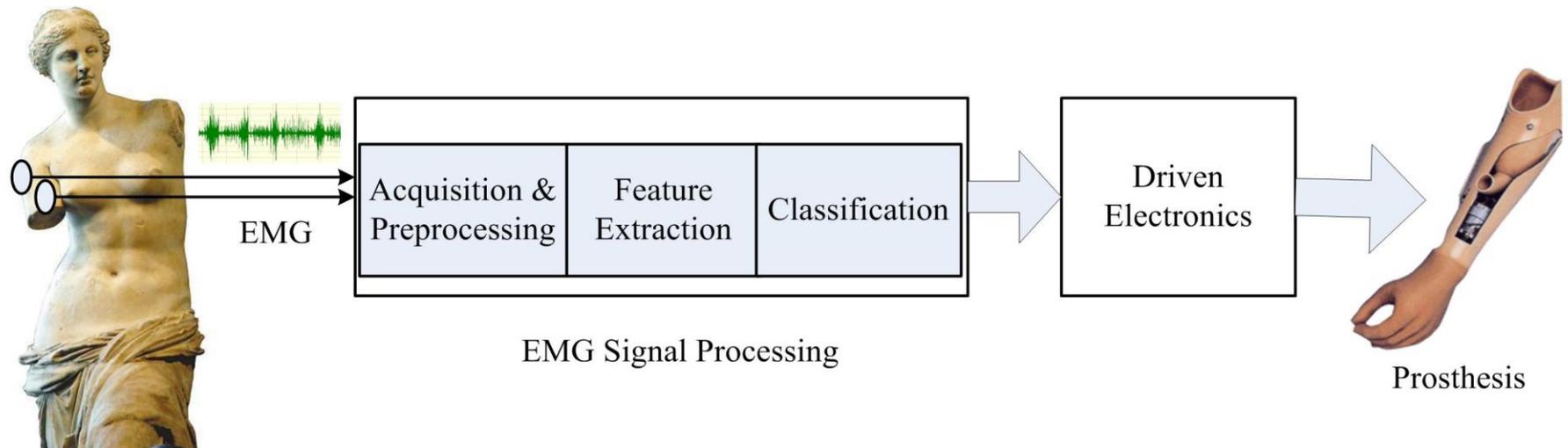


# Case Study 4

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## EMG Controlled Prosthetic Hand

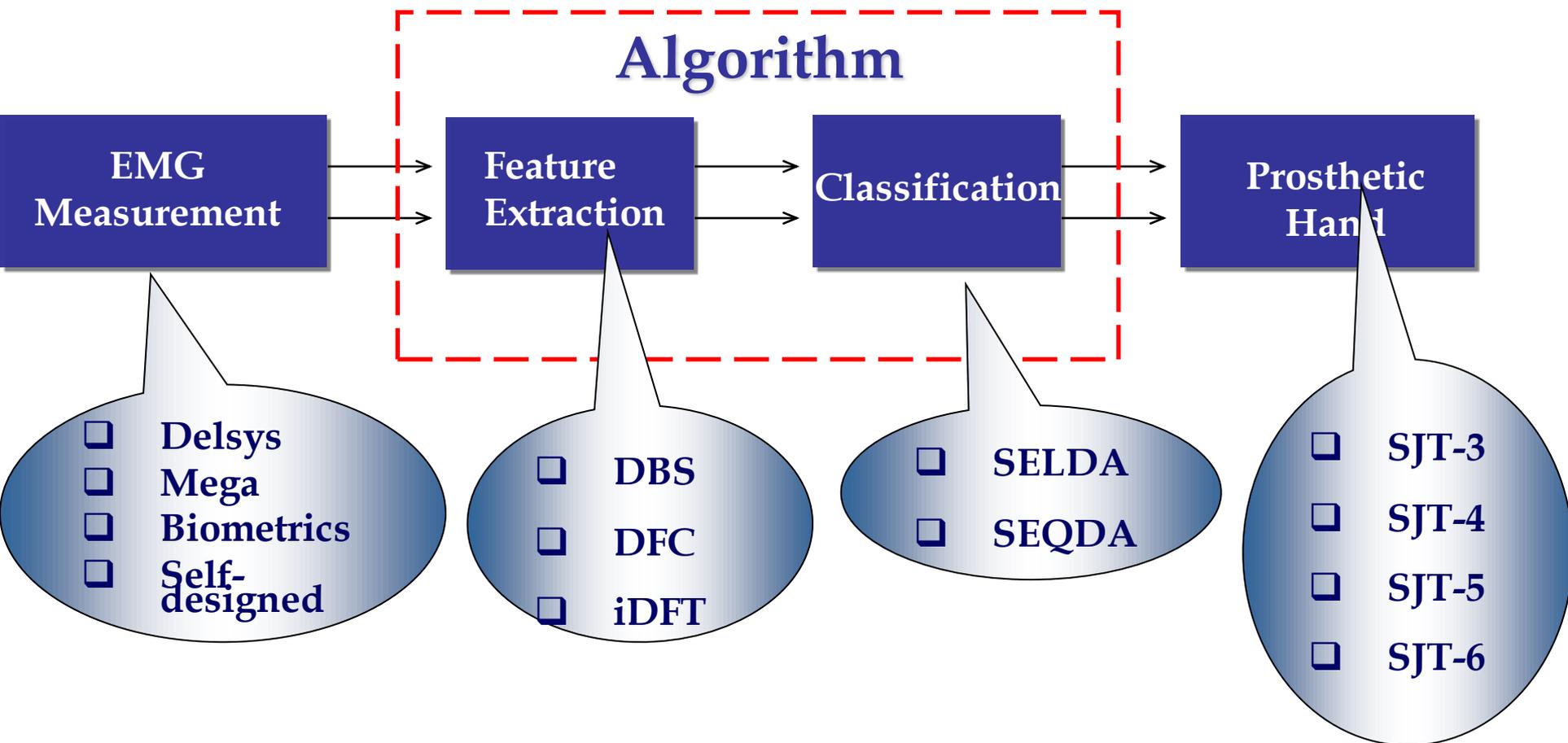
# Basic Theory



**Block diagram of EMG controlled prosthetic hand**

# Our Recent Work

## EMG Signal Processing based on Pattern Recognition



# Our EMG Equipments



**Delsys**



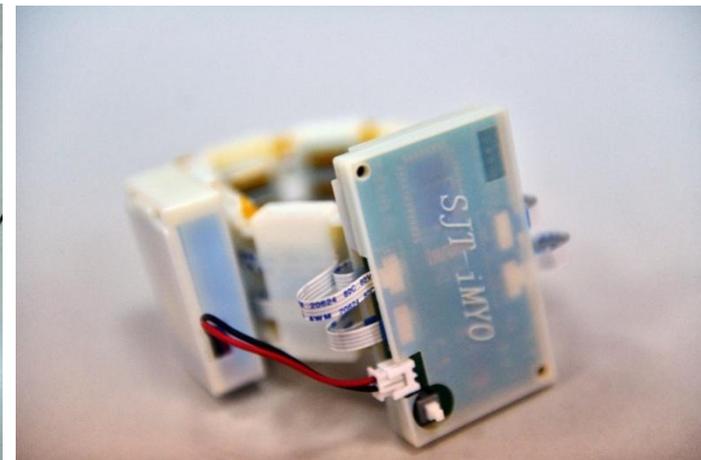
**Mega**



**Biometrics**



**Self-designed EMG armlet**



# Discriminant Bispectrum (DBS)

- $N$ -th order cumulant function of a non-Gaussian stationary random signal  $x(k)$ :

$$C_n^x(\tau_1, \tau_2, \dots, \tau_{n-1}) = m_n^x(\tau_1, \tau_2, \dots, \tau_{n-1}) - m_n^G(\tau_1, \tau_2, \dots, \tau_{n-1})$$

- Bispectrum  $B(\omega_1, \omega_2)$  is defined as 2-dimensional Fourier transform of the 3<sup>rd</sup> order cumulant function:

$$B(\omega_1, \omega_2) = \sum_{\tau_2=-\infty}^{+\infty} \sum_{\tau_1=-\infty}^{+\infty} C_3^x(\tau_1, \tau_2, \tau_1 + \tau_2) \exp[-j(\omega_1 \tau_1 + \omega_2 \tau_2)]$$

- Direct estimation of bispectrum of  $K$  segments

$$BS(\omega_1, \omega_2) = \frac{1}{K} \sum_{k=1}^K BS_k(\omega_1, \omega_2)$$

- Estimation of bispectrum in the  $k$ -th segment

$$BS_k(\omega_1, \omega_2) = \frac{1}{N^2} X(\omega_1) X(\omega_2) X^*(\omega_1 + \omega_2)$$

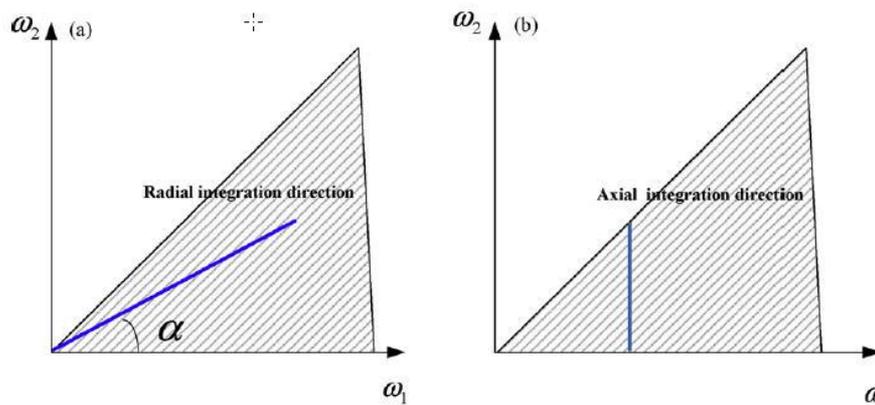
# Bispectrum Integration

1-dimensional  
integration

+

Fisher linear  
discriminant

2-D Bispectrum Matrix is transformed to 1-D integral feature



$$J(W) = \frac{\det(W^T S_I W)}{\det(W^T S_N W)}$$

Dimension Reduction

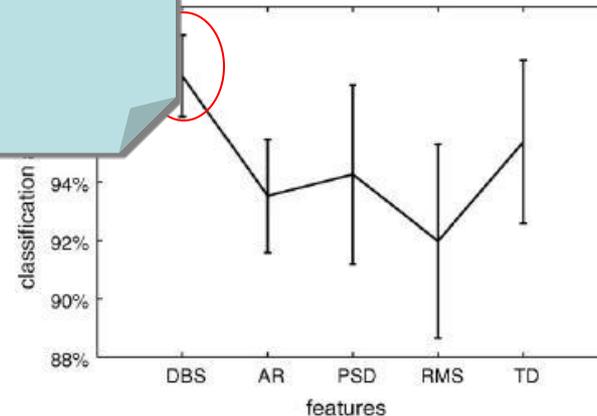
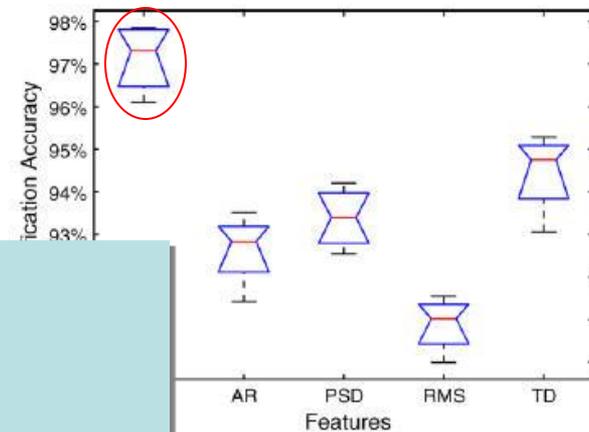
# Results of DBS

**Table 3**  
CA results (%) of different features and classifiers for each participant

| Participants (P) |     | DBS   | AR    | PSD   | RMS   | TD    |
|------------------|-----|-------|-------|-------|-------|-------|
| P1               | LLC | 96.5  | 92.40 | 96.11 | 96.64 | 94.80 |
|                  | GMM | 97.5  | 94.80 | 96.41 | 93.79 | 96.21 |
|                  | MLP | 97.5  | 92.70 | 95.5  | 95.6  | 95.62 |
|                  | SVM | 97.4  | 94.30 | 96.33 | 94.00 | 96.79 |
| P2               | LLC | 94.30 | 90.50 | 90.00 | 94.00 | 90.50 |
|                  | GMM | 96.73 | 91.00 | 91.00 | 91.00 | 91.00 |
|                  | MLP | 93.70 | 91.00 | 91.00 | 91.00 | 91.00 |
|                  | SVM | 96.40 | 92.00 | 92.00 | 92.00 | 92.00 |
| P3               | LLC | 98.30 | 91.00 | 91.00 | 91.00 | 91.00 |
|                  | GMM | 98.53 | 93.00 | 93.00 | 93.00 | 93.00 |
|                  | MLP | 98.30 | 94.00 | 94.00 | 94.00 | 94.00 |
|                  | SVM | 98.76 | 94.00 | 94.00 | 94.00 | 94.00 |
| P4               | LLC | 95    | 88    | 88    | 88    | 88    |
|                  | GMM | 98.31 | 91    | 91    | 91    | 91    |
|                  | MLP | 96.46 | 90    | 90    | 90    | 90    |
|                  | SVM | 98.25 | 91    | 91    | 91    | 91    |
| P5               | LLC | 96.47 | 94    | 94    | 94    | 94    |
|                  | GMM | 97.81 | 93    | 93    | 93    | 93    |
|                  | MLP | 98.30 | 95    | 95    | 95    | 95    |
|                  | SVM | 98.43 | 94    | 94    | 94    | 94    |
| P6               | LLC | 98.17 | 95.82 | 97.35 | 89.12 | 98.8  |
|                  | GMM | 99.31 | 96.57 | 98.59 | 92.73 | 99.1  |
|                  | MLP | 99.18 | 95.33 | 97.8  | 94.1  | 98.4  |
|                  | SVM | 99.41 | 97.16 | 97.8  | 94.1  | 99.2  |
| P7               | LLC | 95.78 | 93.53 | 93.01 | 92.1  | 94.35 |
|                  | GMM | 98.92 | 95.16 | 93.46 | 93.8  | 96.31 |
|                  | MLP | 97.75 | 94.1  | 92.86 | 93.2  | 94.73 |
|                  | SVM | 98.63 | 95.32 | 93.7  | 94.5  | 96.24 |

## Time Consuming:

- **DBS - 40ms**
- **AR - 28ms**
- **PSD - 38ms**
- **TDS - 2ms**
- **RMS - 0.5ms**



# Fourier Cepstrum (FC)

- Energy spectrum is achieved via discrete Fourier transform

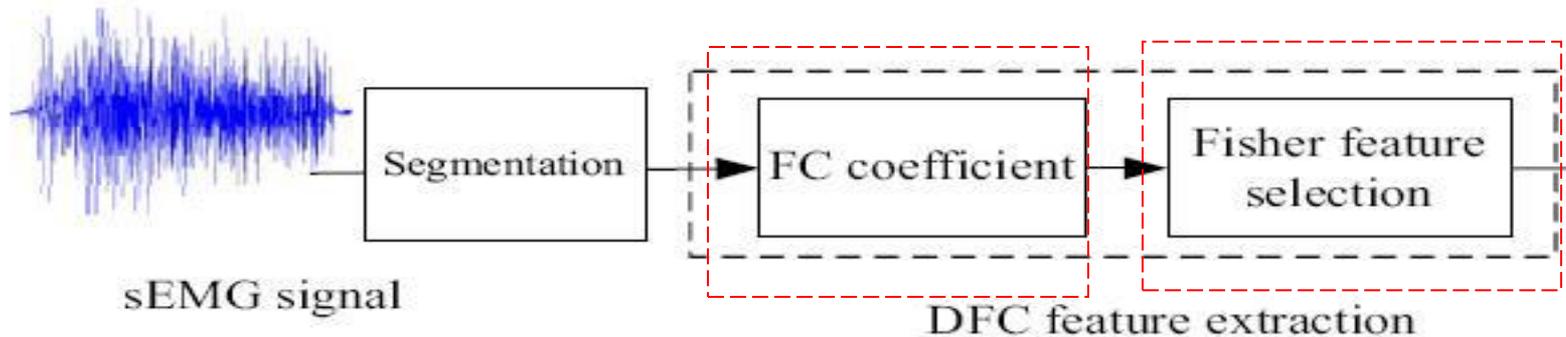
$$FC_i = \sum_{k=0}^{N-1} Y_k \cos\left(\frac{(k+1/2)(i-1)\pi}{N}\right), i = 0, 1, \dots, N.$$

- FC coefficient

$$X[k] = \sum_{n=0}^{N-1} x[n] \exp^{-j\frac{2\pi}{N}nk}, k = 0, 1, \dots, N-1.$$

is achieved from the nonlinear magnitude of Fourier-spectrum transform directly using discrete cosine transform (DCT).

# Discriminant Fourier Cepstrum (DFC)



## FC coefficients (FFT + DCT):

(1) Calculate the energy spectrum using the discrete Fourier transform

$$X[k] = \sum_{n=0}^{N-1} x[n] \exp^{-j\frac{2\pi}{N}nk}, \quad k = 0, 1, \dots, N-1. \quad (3)$$

(2) Calculate FC coefficients from the nonlinear magnitude of the Fourier-spectrum transform directly using DCT

$$FC_i = \sum_{k=0}^{N-1} Y_k \cos\left(\frac{(k+1/2)(i-1)\pi}{N}\right), \quad i = 1, 2, \dots, N, \quad (4)$$

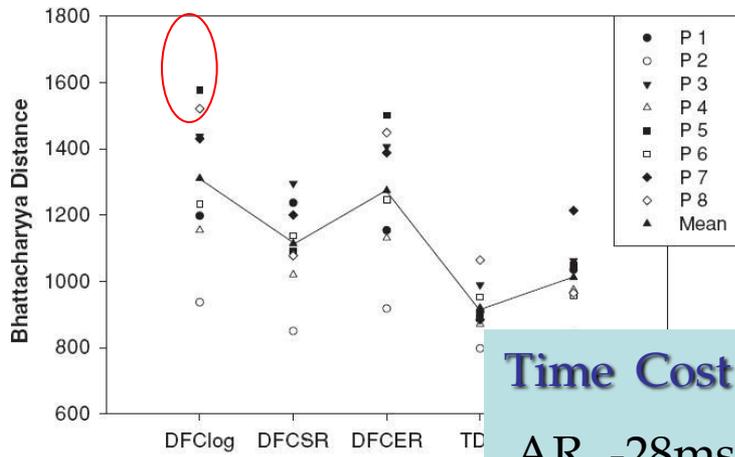
} DFC feature

## Fisher ratio feature selection:

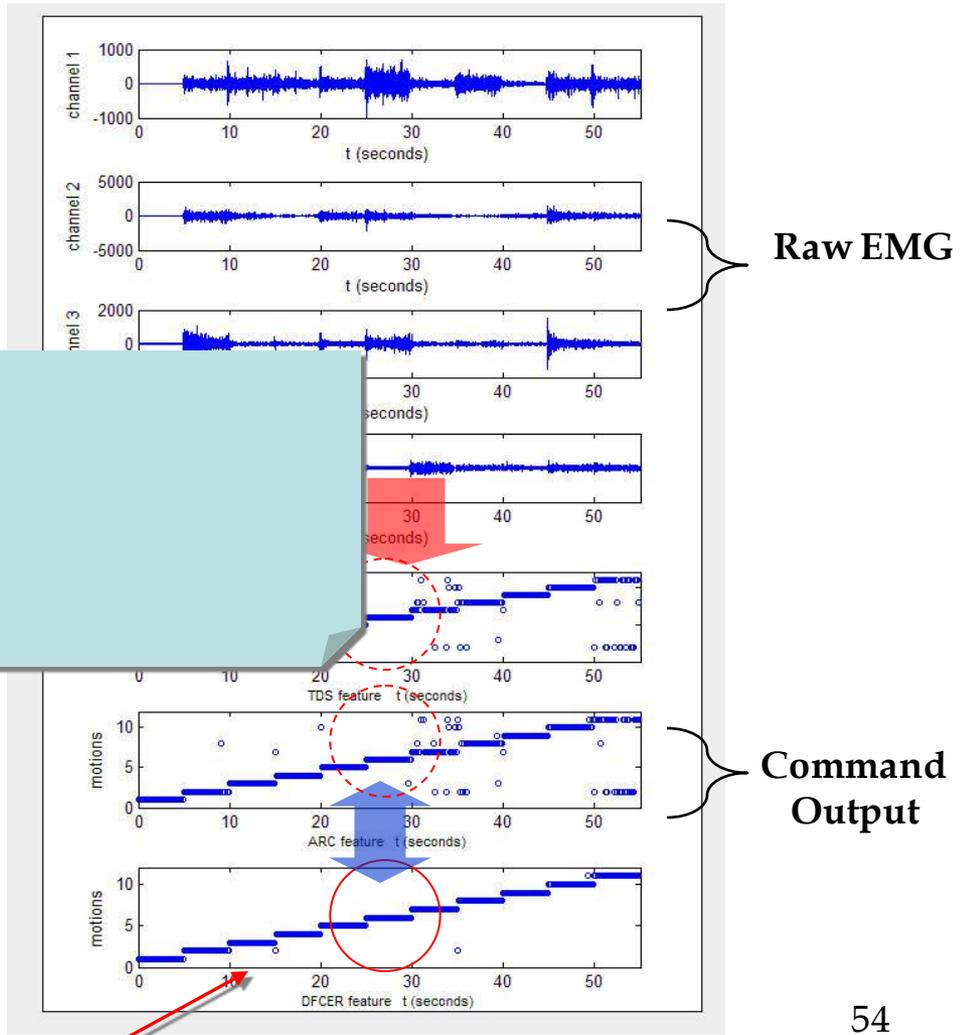
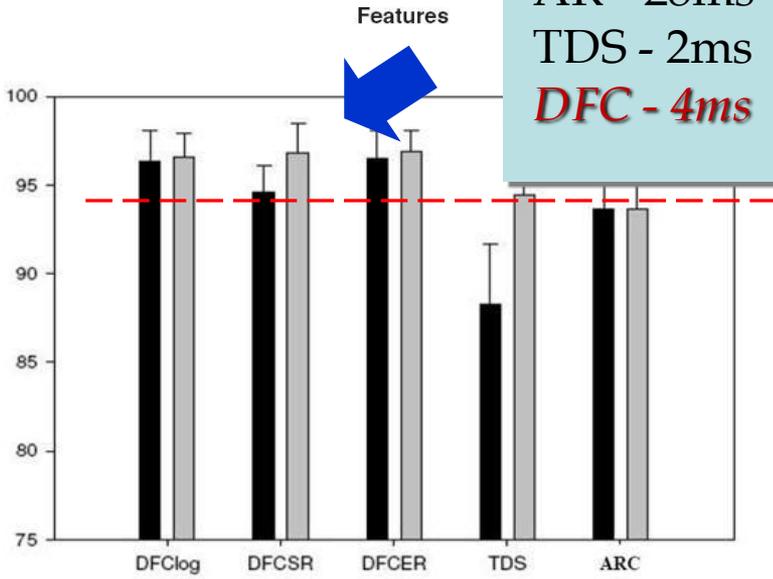
$$J_{\text{fish}}(i) = \frac{S_B(i)}{S_W(i)}.$$

[Chen XP, Zhu XY, Zhang DG, *Physiological Measurement*, 2009]

# Results of DFC



**Time Cost:**  
 AR - 28ms  
 TDS - 2ms  
 DFC - 4ms



Less mistakes

# Expected Performance

---

1. At least 8 classes of motions can be recognized.

**Versatile functions**

2. The recognition accuracy should be above 90%.

**Accurate control**

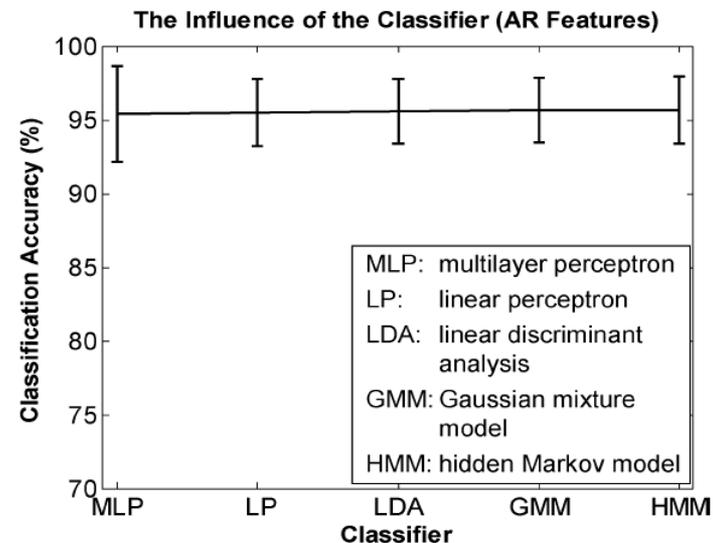
3. The computation time of algorithms should be less than 300ms.

**Fast response**

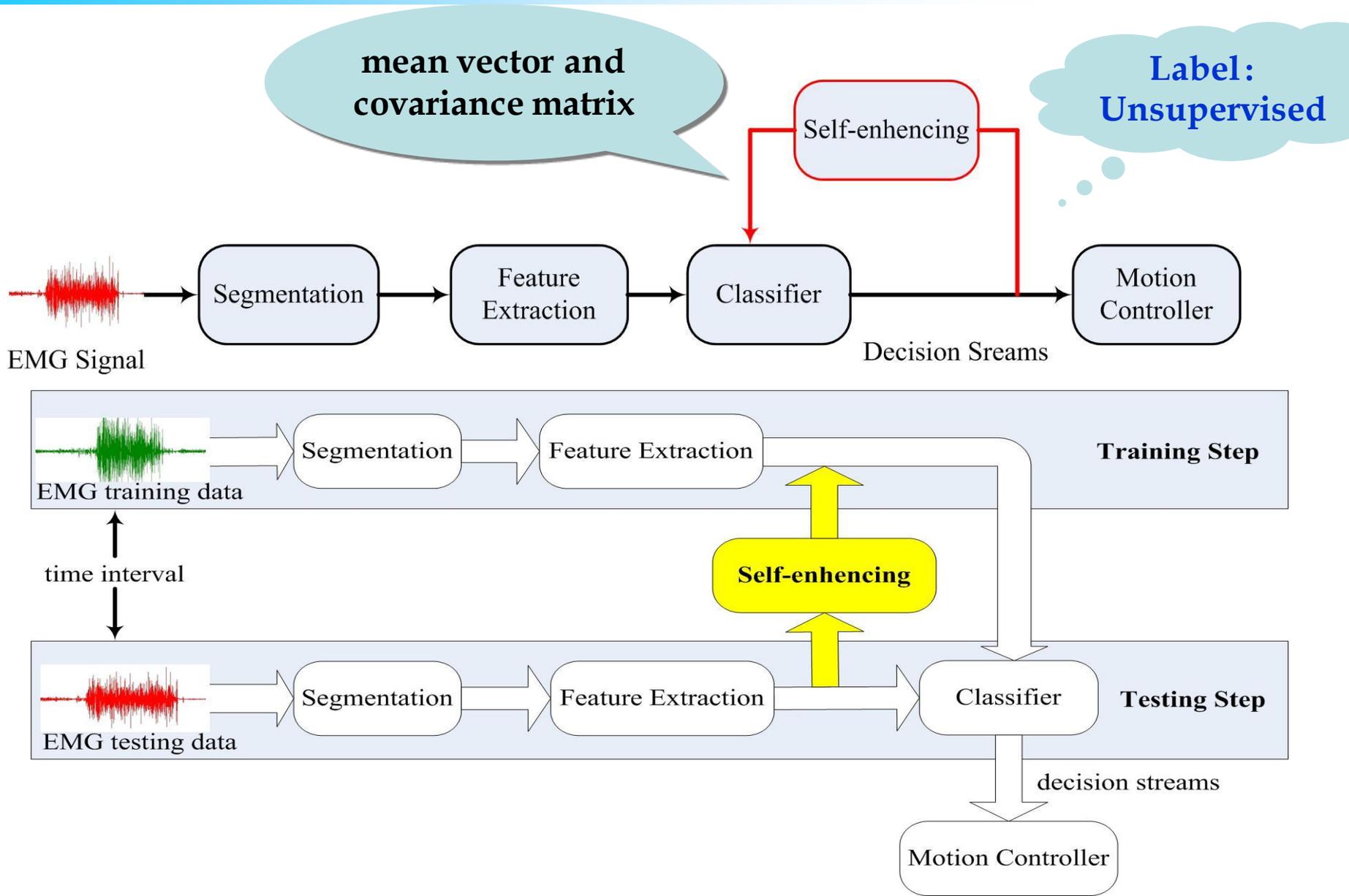
# Adaptive Classifier

## Self-enhancing adaptive classifier

- Increasing the training data set
- Information in testing data is used to update parameters of classifier.
- LDA and QDA is improved to self-enhancing classifiers.



# Adaptive Classifier



# Conventional Classifiers

## Linear Discriminant Analysis (LDA)

## Quadratic Discriminant Analysis (QDA)

$$p(\omega_i | y) = p(\omega_i) \frac{p(y | \omega_i)}{p(y)}$$

where  $p(y | \omega_i)$  is the class-conditional probability density function (PDF)

$$p(y | \omega_i) = \frac{1}{(2\pi)^{\frac{P}{2}} |\Sigma_i|^{\frac{1}{2}}} \exp\left\{-\frac{1}{2}(y - \mu_i)^T \Sigma_i^{-1}(y - \mu_i)\right\}$$

**Note:** It is called LDA. When covariances  $\Sigma_i$  are assumed to be different, the decision boundaries are hyperquadric surfaces, and this is called QDA.

Fisher linear discriminant (FLD) is adopted to reduce the dimension before QDA classification in this work.

# Adaptive Classifier

The updated mean vector  $\tilde{\mu}_k$  for the  $k$ th class is

$$\tilde{\mu}_k = \frac{nc_k * \mu_k + z}{nc_k + 1}$$

where  $z$  is the new EMG feature

the class covariance matrix  $\tilde{\Sigma}_k$  is updated by

$$\begin{aligned}\tilde{\Sigma}_k &= \frac{1}{nc_k + 1} \tilde{S}_k \\ &= \frac{1}{nc_k + 1} S_k + \frac{1}{nc_k + 1} C_k \\ &= \frac{nc_k}{nc_k + 1} \Sigma_k + \frac{1}{nc_k + 1} C_k\end{aligned}$$

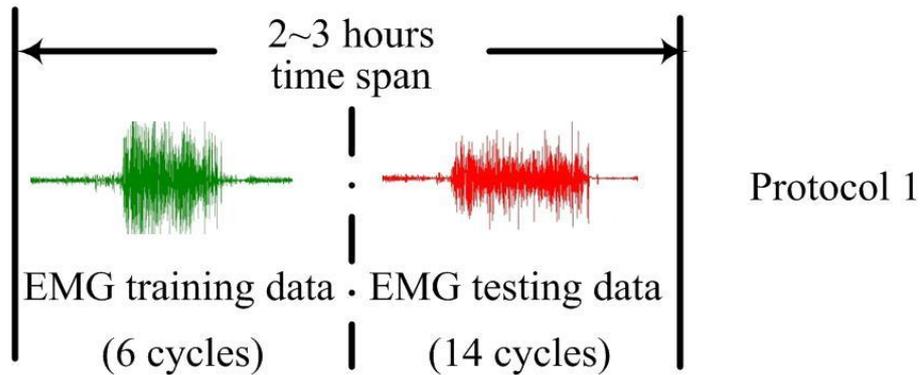
Denote  $S_k = \sum_{i=1}^{nc_k} (x_i - \mu_k)(x_i - \mu_k)^T$      $C_k = \frac{n_k}{(nc_k+1)} (z - \mu_k)(z - \mu_k)^T$ ,

$$\tilde{S}_k = \sum_{i=1}^{nc_k+1} (x_i - \tilde{\mu}_k)(x_i - \tilde{\mu}_k)^T$$

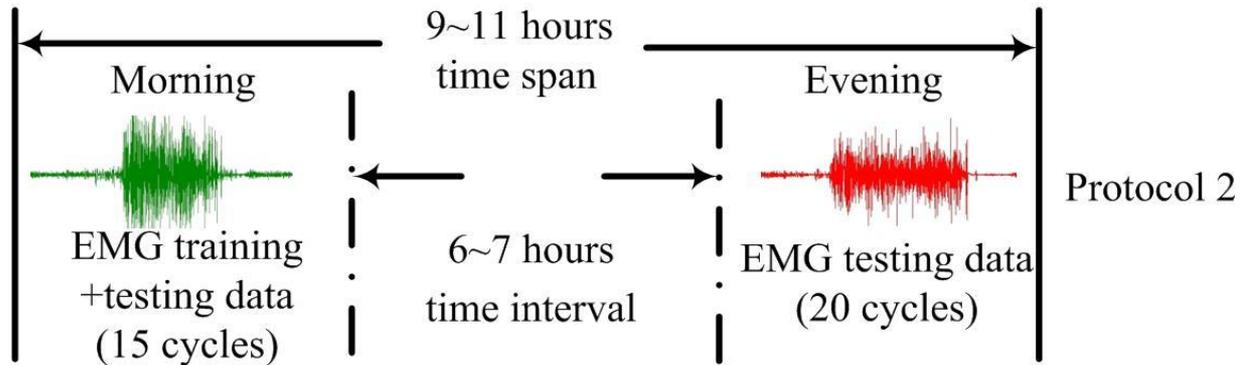
$$\tilde{S}_k = S_k + C_k$$

# Protocols

## Conventional (short-term) Protocol

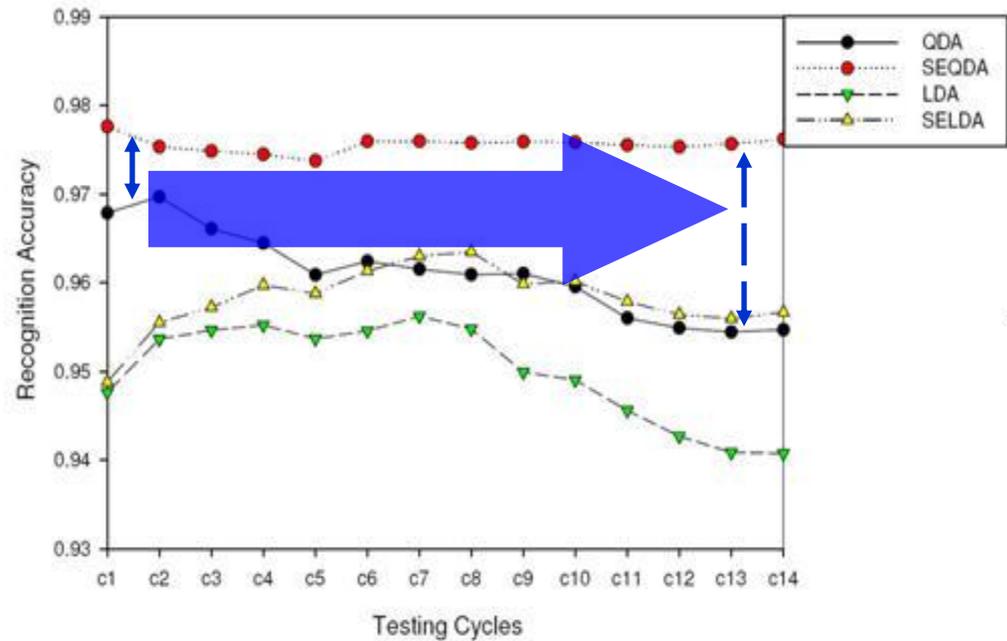
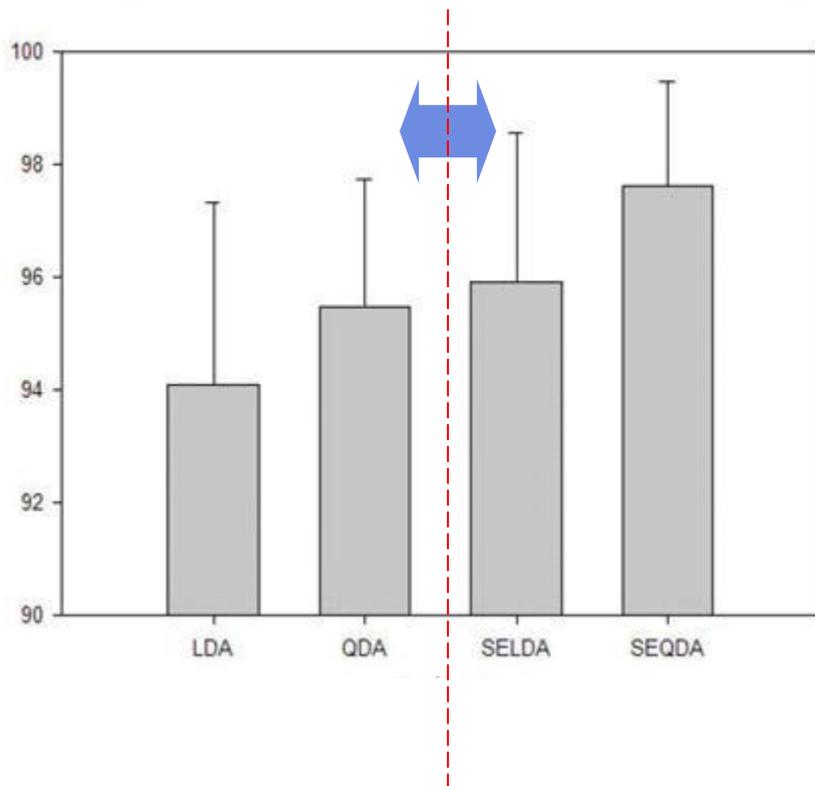


## Long-term Protocol



# Short-term Performance

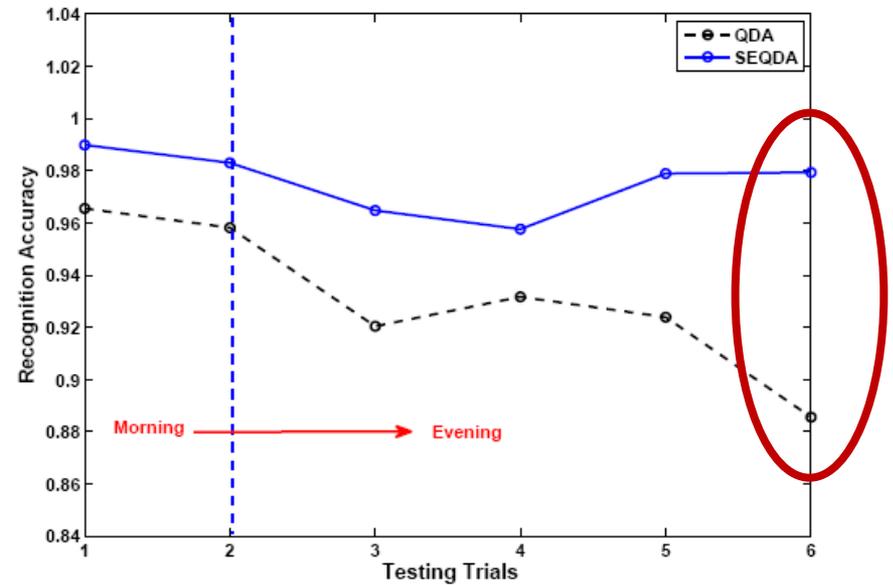
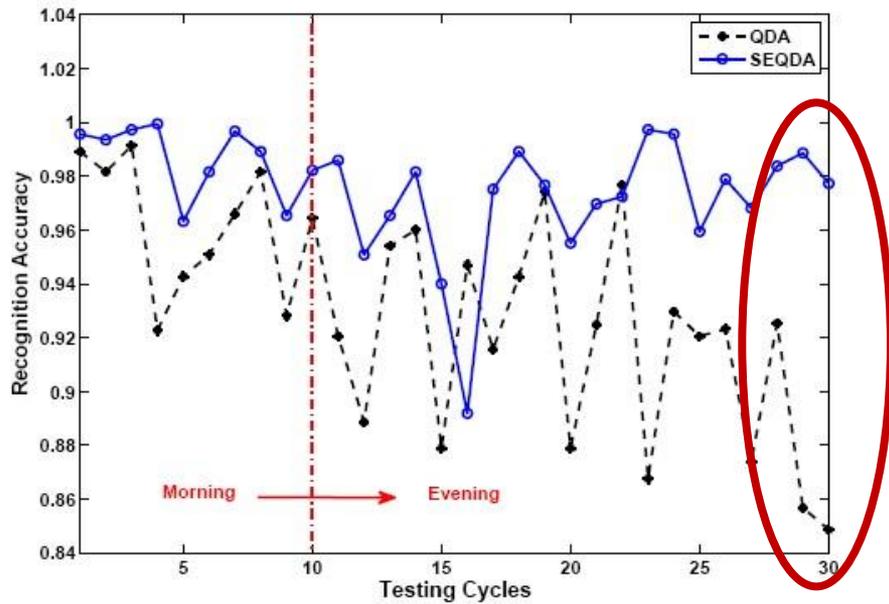
## Experiment results (unsupervised method)



SEQDA (red) vs QDA (black)  
SELDA (yellow) vs LDA (green)

# Long-term Performance

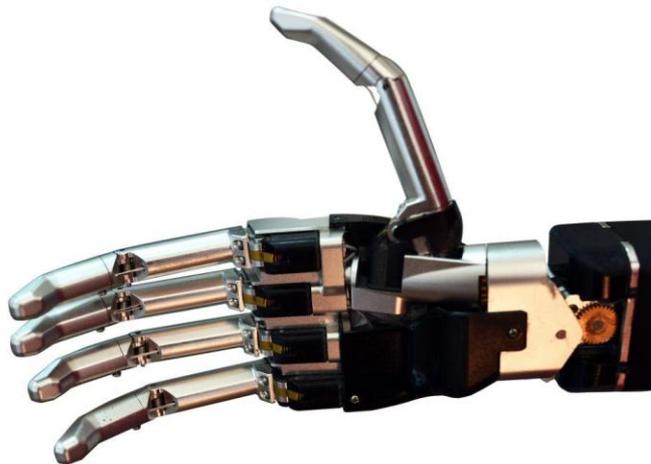
## Experiment results (unsupervised method)



# Prototypes of Prosthetic Hands



**SJT-2~SJT-5 Hands**



**SJT-6 Hand**

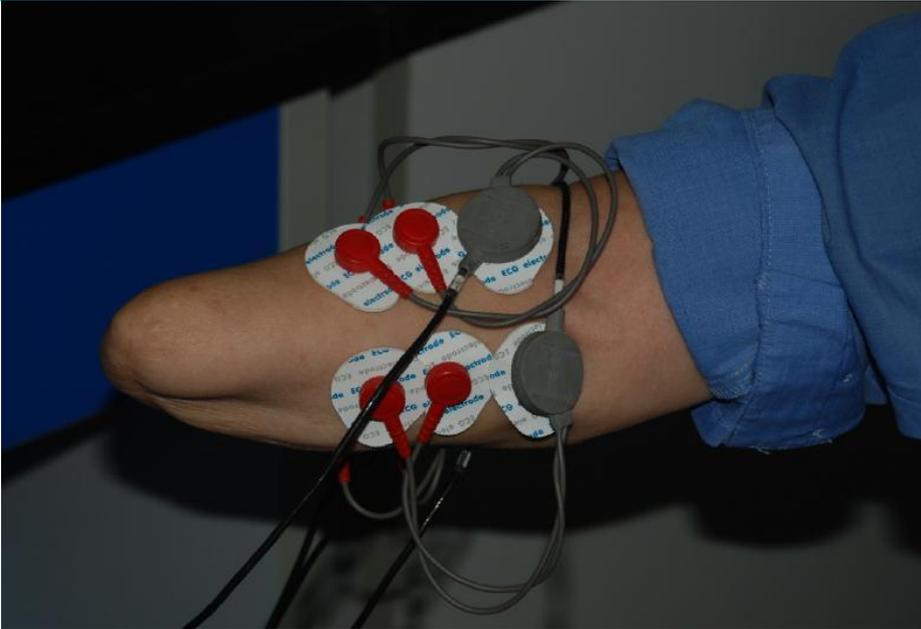
# Experiment on Amputees



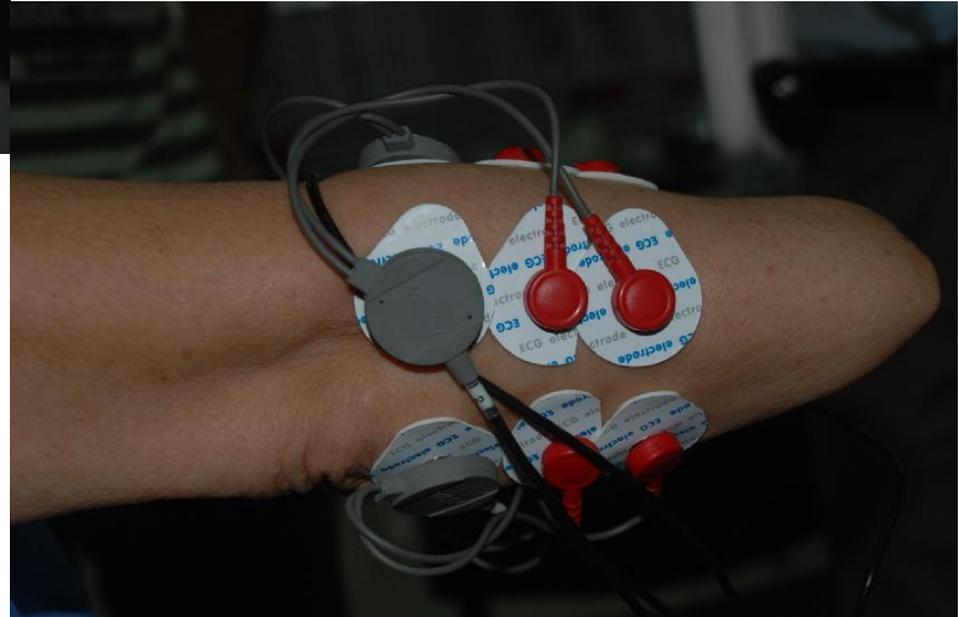
TABLE I  
THE INFORMATION OF THE AMPUTEE SUBJECTS

| Subject<br>(gender, age) | Dominant<br>Hand | Lower arm<br>stump length (cm) | Cause of<br>amputation | Time since<br>amputation (years) | Prosthesis usage<br>/type of prosthesis |
|--------------------------|------------------|--------------------------------|------------------------|----------------------------------|---|
| Sub1 (M, 72)             | Right hand       | R. mid third (15)              | Traumatic              | 34                               | Half day, myoelectric                   |
| Sub2 (F, 50)             | Right hand       | L. upper third (10)            | Traumatic              | 25                               | Half day, myoelectric                   |
| Sub3 (F, 56)             | Right hand       | R. upper third (8)             | Traumatic              | 31                               | All day, cosmetic                       |
| Sub4 (F, 57)             | Right hand       | L. mid third (17)              | Traumatic              | 30                               | Half day, cosmetic                      |
| Sub5 (M, 60)             | Right hand       | L. mid third (16)              | Traumatic              | 7                                | Half day, cosmetic                      |
| Sub6 (M, 36)             | Right hand       | R. mid third (16)              | Traumatic              | 8                                | Half day, myoelectric                   |

# Placement of Electrodes



**Anterior View**



**Posterior View**

# Demonstration



SJT-6 Prosthetic Hand Controlled by  
SJT-iMYO EMG Armlet

# Acknowledgements

**Prof.  
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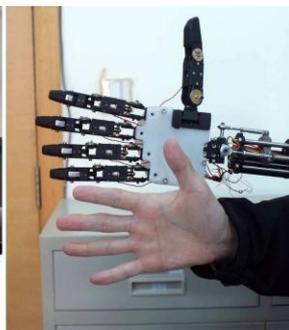


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Kaida Chen  
Ying Wang  
Lei Hua  
Chengzhang Li**

# Biomechatronics & BioRobotics Lab



Welcome!



ME6000-T16 肌电仪



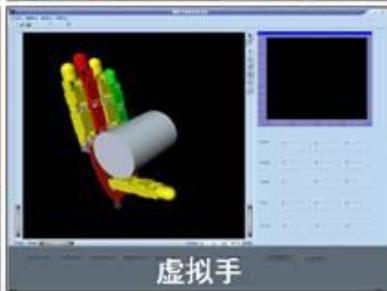
Neuroscan 脑电仪



电磁屏蔽室



运动测量装置



虚拟手



生机一体化假肢样机



<http://bbl.sjtu.edu.cn>

**The END**

**Thank you for your attention!**

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